



# MCHENRY COUNTY COUNCIL OF MAYORS

## AGENDA

Thursday, July 21, 2022

1515 Channel Beach Avenue, Johnsburg, IL 60051

9:00 AM

16111 Nelson Road  
Woodstock, IL 60098  
T: 815.334.4642

### ALGONQUIN

### BARRINGTON HILLS

### BULL VALLEY

### CARY

### CRYSTAL LAKE

### FOX RIVER GROVE

### GREENWOOD

### HARVARD

### HEBRON

### HOLIDAY HILLS

### HUNTLEY

### JOHNSBURG

### LAKE IN THE HILLS

### LAKEMOOR

### LAKEWOOD

### MARENGO

### McCULLOM LAKE

### McHENRY

### OAKWOOD HILLS

### PORT BARRINGTON

### PRAIRIE GROVE

### RICHMOND

### RINGWOOD

### SPRING GROVE

### TROUT VALLEY

### UNION

### WONDER LAKE

### WOODSTOCK

1.

#### CALL TO ORDER

- A. Roll Call/Introductions (Sign-In Sheet)
- B. Agenda Changes/ Announcements

2.

#### APPROVAL OF MINUTES – May 19, 2022

3.

#### PUBLIC COMMENT

4.

#### AGENCY REPORTS

- A. IDOT Highway Report – Katie Herdus
- B. Pace Report – Edward Gallagher
- C. Metra Update – Katie Renteria
- D. RTA Update – Anthony Cefali
- E. McHenry County Division of Transportation Update – Scott Hennings
- F. Illinois Tollway Update – Kelsey Passi
- G. IDOT STP Local Program Update – Gerardo Fierro
- H. CMAP Report – Kama Dobbs

5.

#### APPROVAL - MCHENRY COUNTY COUNCIL OF MAYORS SURFACE TRANSPORTATION PROGRAM – LOCAL: STAFF RECOMMENDED PROGRAM

- A. Revisions to STP-L program
- B. Action Requested: Approval

6.

#### APPROVAL – MCHENRY COUNTY COUNCIL OF MAYORS SURFACE TRANSPORTATION PROGRAM – LOCAL: FY 2023-2027 PROGRAM

- A. Draft program was presented and discussed at the May 19, 2022 MCCOM meeting.
- B. Draft program was posted on the MCCOM website and public comment period was from June 3 – July 5, 2022.
- C. Action Requested: Approval

7.

#### APPROVAL – SFY 2023 PLANNING LIAISON CONTRACT

- A. Action Requested: Approval

8.

#### PLANNING LIAISON REPORT

9.

#### OTHER BUSINESS / ANNOUNCEMENTS

10.

#### NEXT MEETING DATE AND LOCATION

- A. Thursday, September 15, 2022
- B. 9:00 am; Location: TBD

11.

#### ADJOURNMENT

**MCHENRY COUNTY COUNCIL OF MAYORS  
MEETING MINUTES**

**Thursday, May 19, 2022  
9:00 AM**

**1. CALL TO ORDER**

Chairman Mack called the meeting to order at 9:04 am.

A. Roll Call/Introductions (Sign-In Sheet)

The meeting had a quorum (minimum of 7 members).

Council Members Present:

1. Village of Bull Valley – Emily Berendt
2. Crystal Lake – Abby Wilgreen
3. City of Harvard – Dave Nelson
4. Village of Huntley – Tim Farrell
5. Village of Johnsburg – Claudett Sofiakis
6. Village of Lake in the Hills – Tom Migatz
7. Village of Lakewood – Jean Heckman
8. City of McHenry – Troy Strange
9. Village of Richmond – Jon Schmitt
10. Village of Ringwood - Rick Mack, Chair
11. Village of Spring Grove – Mike Lee
12. McHenry County – Scott Hennings

Staff Present:

1. McHenry County Council of Mayors – Jazmin Vega, Planning Liaison
2. McHenry County Council of Mayors – Jon Paul Diipla, Executive Director

B. Agenda Changes/ Announcements

**2. APPROVAL OF MINUTES – May 19, 2022**

Approval of the minutes for the May 19, 2022 McHenry County Council of Mayors meeting. A motion was made by Ms. Heckman and seconded by Ms. Wilgreen to approve the minutes as presented. The motion carried unanimously. A list of the votes can be found on the last page.

**3. PUBLIC COMMENT**

Gary Swick, President of Friends of the Fox River, invited Council of Mayor’s members to participate in It’s Our Fox River Day (IOFRD). Mr. Swick explained that organizations could adopt a proclamation that acknowledges the third Saturday of September as IOFRD and to have and support events, public and private, that celebrate the well-being of the Fox River.

#### **4. AGENCY REPORTS**

- A. IDOT Highway Report – Katie Herdus  
Ms. Herdus was not present; Ms. Vega directed attendees to the meeting packet in which the IDOT Highway Report was provided.
- B. Pace Report – Edward Gallagher  
Mr. Gallagher joins the Council of Mayors meeting as representative. Pace provided updates on upcoming fare change public hearings, all virtual, in the month of May. Mr. Gallagher reported on the 6-month pilot project of reduced the reduced CTA/Pace Pass that Pace is proposing to permanently adopt at reduced rates. Pace has provided material with additional employment opportunities. This information may be found in the meeting packet.
- C. Metra Update – Katie Renteria  
Ms. Renteria reported current Metra ridership, including 1.9 million trips conducted in April 2022.
- D. RTA Update – RTA Staff  
Not present, no report.
- E. McHenry County Division of Transportation Update – Scott Hennings  
Mr. Hennings introduced Design Manager, Joyce DeLong. Mr. Hennings reported on Algonquin’s Road Resurfacing project and Algonquin’s Flashing Yellow Arrow project. He also reported on Charles Road IL 47 to IL 120 where DOT did a roadside safety assessment. Lastly, Mr. Diipla provided an update on McHenry County Long Range Transportation Plan. He shared upcoming public engagement events in the upcoming weeks as well as survey information that will be distributed soon.
- F. Illinois Tollway Update – Kelsey Passi  
Not present, no report.
- G. IDOT STP Local Program Update – Gerardo Fierro
- H. Not present, report provided in meeting packet.
- I. CMAP Report – Kama Dobbs  
Ms. Dobbs reported on the FLIP High School program is now accepting applications for those interested in planning. She also provided a reminder that the Highway Safety Improvement Program is accepting applications.

#### **5. DISCUSSION - MCHENRY COUNTY COUNCIL OF MAYORS SURFACE TRANSPORTATION PROGRAM – DRAFT FY 2023 – 2027 PROGRAM**

Ms. Vega presented the draft recommended program for STP-L project applicants, which considered every eligible project application. Mr. Strange asked about the process of Phase 1 & 2 engineering and the speed in which IDOT is processing agreements. Mr. Hennings responded that IDOT is aware of the concerns and noted that if projects are funded in the STP-L program, obligation deadline should be met. Mr. Mack reported that the Northwest Council drafted a letter to IDOT voicing concerns about length of time for processing agreements. Mr. Hennings offered that the DOT could assist in drafting a similar letter and requested council members to submit any specific concern or project-relate instance to be included in a letter to Ms. Vega. Mr. Lee asked clarification in a cost increase request. Ms. Dobbs provided additional information on the process and noted a cost increase request could be considered after submitting the request to the Planning Liaison.

**6. APPROVAL – FUNCTIONAL RECLASSIFICATION RESOLUTION**

The agenda item was initially tabled for the July 21, 2022 meeting as the Village’s consultant was not present, but it was revisited later in the meeting as the consultant arrived.

The Village of Algonquin sought approval from the Council for the functional classification revision request for the Stonegate Road project for one segment of roadway: Stonegate Road – Countyline Rd. to Grandview Dr. The resolution was provided as a handout.

A motion was made by Mr. Schmitt and seconded by Ms. Wilgreen to approve the reclassification. The motion did not carry in the roll call approval. A list of the votes can be found on the last page. The notion failed with 4 votes in favor and 8 votes against.

**7. PRESENTATION – BICYCLE AND PEDESTRIAN PLANNING AND FUNDING**

Metropolitan Planning Council, Active Transportation Alliance, Metropolitan Mayors Caucus presented on upcoming events, and bicycle and pedestrian planning and funding this year. Presenters asked attendees whether their communities are asking for more bike and pedestrian infrastructure and what major barriers are they experiencing to do so. Many attendees agreed with the competitive nature of funding and the challenges smaller communities experience when applying for federal funding. Mr.Mack answered that there are missing pieces of funding, some municipalities are too small to fund themselves. Mr. Lee answered that many small communities do not have the resources to apply for grants; the high per capita incomes make many small communities ineligible for many funding opportunities. Ms. Berendt agreed with the funding challenges noted by Mr. Mack and Mr. Lee. She also noted that seniors would be interesting in biking more. Ms. Wennick noted that IDOT will fund 100% of the cost of sidewalks in IDOT corridors. Ms. Wennick also noted that municipalities could look to CMAP to help interpret roles and provide technical assistance with funding.

The following questions asked participants about their recent accomplishments in bike and ped planning. Mr. Lee added that Spring Grove recent accomplishments. Mr. Hennings noted Randall Roads underpass; county is looking for more opportunities.

**8. PLANNING LIAISON REPORT**

No report

**9. OTHER BUSINESS / ANNOUCEMENTS**

**10. NEXT MEETING DATE AND LOCATION**

The next meeting is July 21, 2022 and will likely be held in-person.

**11. ADJOURNMENT**

**APPROVAL OF THE MINUTES**

COMMUNITY	NAME	VOTE
Village of Bull Valley	Emily Berendt	APPROVE
Crystal Lake	Abby Wilgreen	APPROVE
City of Harvard	Dave Nelson	APPROVE
Village of Huntley	Tim Farrell	APPROVE

Village of Johnsburg	Claudett Sofiakis	APPROVE
Village of Lake in the Hills	Tom Migatz	APPROVE
Village of Lakewood	Jean Heckman	APPROVE
City of McHenry	Troy Strange	APPROVE
Village of Richmond	Jon Schmitt	APPROVE
Village of Ringwood	Rick Mack	APPROVE
Village of Spring Grove	Mike Lee	APPROVE
McHenry County	Scott Hennings	APPROVE

**APPROVAL OF FUNCTIONAL RECLASSIFICATION RESOLUTION**

<b>COMMUNITY</b>	<b>NAME</b>	<b>VOTE</b>
Village of Bull Valley	Emily Berendt	NO
Crystal Lake	Abby Wilgreen	YES
City of Harvard	Dave Nelson	NO
Village of Huntley	Tim Farrell	YES
Village of Johnsburg	Claudett Sofiakis	
Village of Lake in the Hills	Tom Migatz	NO
Village of Lakewood	Jean Heckman	NO
City of McHenry	Troy Strange	NO
Village of Richmond	Jon Schmitt	YES
Village of Ringwood	Rick Mack	NO
Village of Spring Grove	Mike Lee	NO
McHenry County	Scott Hennings	NO

July 18, 2022

# **McHenry County Council of Mayors Management Monitoring Schedule FY 2022-2027 Proposed Highway Improvement Program**

**Target lettings for projects in the MYP are contingent upon contract plan readiness, land acquisition and funding availability through our future annual legislative appropriations.**

Katie Herdus, Area Programmer  
Phone : (847) 705-4090  
FAX: (847) 705-4666  
E-mail : [katherine.Herdus@illinois.gov](mailto:katherine.Herdus@illinois.gov)

# Illinois Department of Transportation MANAGEMENT MONITORING SCHEDULE

1

REGIONAL COUNCIL: McHenry County  
Katie Herdus, Area Programmer, 847/705-4090

IDOT Web Site is [www.dot.il.gov](http://www.dot.il.gov)

DATE: July 18, 2022

IDOT FY 2022-2027 Proposed Highway Improvement Program is on-line at: <https://idot.illinois.gov/Assets/uploads/files/Transportation-System/Reports/OP&P/HIP/2022-2027/2022-2027%20MYP%20Book%20-%20Draft%20Internet%20Version.pdf>

PROJECTS & LIMITS	SCOPE OF WORK	COST (000)	DESIGN APPROVAL DATE	RIGHT-OF-WAY REQUIRED	TARGET FOR CONTRACT TO BE AVAILABLE FOR LETTING (*SEE NOTE BELOW)	FISCAL YEAR FUNDS AVAILABLE	COMMENTS
US 14: N of Oak Grove Rd to Crowley Rd	Ultra-Thin Overlay	\$1000 NHPP	PS&E	NO	<b>11/2021 Letting</b>	MYP	<b>1-80609-0000</b> Awarded 11/18/2021 \$322,357.69 Contractor: Peter Baker & Son Co.
US 14 At Ditch South of State Line	Culvert Replacement	<b>\$485</b> NHPP	E12/31/20	NO	<b>Early Years MYP</b>	MYP	1-80346-0000 Harvard
US 14 at Hartland Rd / Hughes Rd	Intersection Reconstruction	\$2,700 HSIP	E12/31/21	TBD	<b>Middle Years MPY</b>	MYP	1-80242-0000 Woodstock
US 14: W of Hartland to E of IL 47	SMART Overlay	\$3,650 NHPP	PS&E	NO	<b>3/2022 Letting</b>	MYP	1-80606-0000 Woodstock Awarded 3/24/2022 \$3,492,367.27 Plote Construction, Inc.
US 14: Crystal Lake Ave to Teckler Blvd	SMART Overlay	\$1,720 NHPP	PS&E	NO	<b>1/2022 Letting</b>	MYP	<b>1-80518-0000</b> <b>Crystal Lake</b> Awarded 2/08/2022 \$3,474,966.92 Curran Contracting Company Contract 62N44
US 14: E of Teckler Blvd to Pingree Rd	SMART Overlay	\$1,300 NHPP	PS&E	NO	<b>1/2022 Letting</b>	MYP	<b>1-80605-0000</b> <b>Crystal Lake</b> Contract 62N44
US 14 Spring Beach Way to Lake Co. Line	Standard Overlay, Pedestrian Ramps/ADA	\$2,275 NHPP	PS&E	TBD	<b>Later Years MYP</b>	MYP	1-78256-0000 Cary
US 20: Page St to Locust Rd	Designed Overlay	\$500 NHPP	PS&E	NO	<b>Middle Years MYP</b>	MYP	1-80899-0000 Marengo
IL 23 @ Drainage Ditch (0.1 Mi. N of IL 176)	Culvert Replacement	<b>\$820</b> NHPP	E12/31/17	TBD	<b>Middle Years MYP</b>	MYP	1-78875-0000 Marengo

- Entries in bold print indicate updates made since the last Transportation Committee Meeting.
- Target lettings for projects in the MYP are contingent upon contract plan readiness, land acquisition and funding availability through our future annual legislative appropriations.

**Illinois Department of Transportation  
MANAGEMENT MONITORING SCHEDULE**

REGIONAL COUNCIL: McHenry County

Katie Herdus, Area Programmer, 847/705-4090

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PROJECTS & LIMITS	SCOPE OF WORK	COST (000)	DESIGN APPROVAL DATE	RIGHT-OF-WAY REQUIRED	TARGET FOR CONTRACT TO BE AVAILABLE FOR LETTING (*SEE NOTE BELOW)	FISCAL YEAR FUNDS AVAILABLE	COMMENTS
IL 31 (Front St): S of IL 120 to N of IL 176	Additional Lanes, Reconstruction	\$75,500 NHPP	2/21/2020	YES	<b>Later Years MYP</b>	MYP	1-75939-0200 McHenry, Prairie Grove, Crystal Lake
IL 47: N of IL 120 to US 14	Additional Lanes, Reconstruction	\$38,600 NHPP	4/11/19	YES	<b>Middle Years MYP</b>	MYP	1-90013-1000 Woodstock
IL 47 At IL 176 & at Pleasant Valley Rd	Intersection Reconstruction	\$35,000 NHPP	6/29/16	YES	<b>Middle Years MYP</b>	MYP	1-79372-0000 Woodstock
IL 47: S of Thayer Rd to Charles Rd	Ultra-Thin Overlay	\$2,500 NHPP	PS&E	NO	<b>Early Years MYP</b>	MYP	1-80847-0000
IL 62: at Spring Creek W, of IL 59	Bridge Replacement	\$5,630 NHPP	TBD	TBD	<b>Later Years MYP</b>	MYP	1-79901-0000 Algonquin
IL 68: New Sutton Rd to W of Quentin Rd	Crack & Joint Sealing	\$300 NHPP	PS&E	NO	<b>Early Years MYP</b>	MYP	1-80852-0000 Inverness
IL 173: Alden Rd to Greenwood Rd	Designed Overlay, Pedestrian Ramps/ADA, RR Flagger, Drainage	\$6,000 NHPP	PS&E	TBD	<b>Middle Years MYP</b>	MYP	1-79512-0000 Hebron, Richmond
IL 173: Greenwood Rd to Lake Co. Line	Designed Overlay, Pedestrian Ramps/ADA, RR Flagger, Drainage	\$5,300 NHPP	PS&E	TBD	<b>Middle Years MYP</b>	MYP	1-79512-1000 Hebron, Richmond
IL 173 at North Branch Nippersink (E of Hunt Club Rd)	Culvert Repair	\$375 NHPP	TBD	TBD	<b>Middle Years MYP</b>	MYP	1-80080-0000 McHenry County
IL 173 @ Solon Rd & At Lakeview	Channelization	\$1,500 NHPP	TBD	Yes	<b>Middle Years MYP</b>	MYP	1-79700-0000 McHenry County
IL 173: Drainage Ditch 1.7 MI E of US 12/ILL 31	Culvert Replacement	\$250 NHPP	TBD	TBD	<b>Middle Years MYP</b>	MYP	1-79408-0000 McHenry County

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## Illinois Department of Transportation MANAGEMENT MONITORING SCHEDULE

3

REGIONAL COUNCIL: McHenry County  
Katie Herdus, Area Programmer, 847/705-4090

IDOT Web Site is [www.dot.il.gov](http://www.dot.il.gov)

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PROJECTS & LIMITS	SCOPE OF WORK	COST (000)	DESIGN APPROVAL DATE	RIGHT-OF-WAY REQUIRED	TARGET FOR CONTRACT TO BE AVAILABLE FOR LETTING (*SEE NOTE BELOW)	FISCAL YEAR FUNDS AVAILABLE	COMMENTS
IL 176 at Buhl Rd & at Bay View Beach Rd	Left Turn Lanes	\$1,800 HSIP	E10/01/22	TBD	<b>Middle Years MYP</b>	MYP	1-80237-0000 McHenry County

IDOT District 1 Construction Update Report - <http://www.idot.illinois.gov/about-idot/idot-regions/r1-construction-update-report>

Construction Releases - <http://www.idot.illinois.gov/about-idot/stay-connected/news-releases/Construction/releases>

For information regarding the status of ongoing major construction projects or ongoing major Phase I studies, information can be located at the following link <http://www.idot.illinois.gov/transportation-system/transportation-management/featured-projects/index>

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## **Pace Bus – McHenry County Council of Mayor**

### **Ridership Updates**

Pace Fixed Route Ridership across the whole region continue to see growth compared to this time last year.

June 2022 ridership is at 54% compared to 2019 levels.

### **MaaS Pilot Program**

As Pace works to leverage technology and innovation to modernize its system and create a better passenger experience, a contract with Quebec, Inc. (Transit) for a two-year MaaS pilot project was approved by the Pace Board. Driving Innovation, Pace's strategic plan, identified the need for Pace to work with a MaaS provider to maximize transit access and ensure equitable regional access and system integration.

Typically made available through an app, MaaS services enable users to seamlessly plan and book multiple types of mobility services—such as fixed route, On Demand, and Dial-a-Ride. This app will eventually allow for information on all of Pace's family of services to be accessed in one convenient location, something now possible thanks to recent developments in open data standards and advancements in the MaaS space.

### **VanPool Pilot Programs**

Pace has been actively looking to ensure that its VanPool program continues to meet the needs of employees in their work commutes but also has the flexibility to adjust to changing work habits.

As such Pace's Board announced 2 new Vanpool Pilot Programs at its May board meeting,

#### **Part Time Rider Discount**

The First is a Part Time Rider Discount in traditional Vanpools. This discount program is designed for Employees whom are working in hybrid work environments and as such only need to utilize the vanpool rides to an office less than 3 days a week. The discount those part time riders would receive is 50% off the regular Vanpool rider fare costs.

For more information on rideshare options or to join a vanpool check out <https://www.pacebus.com/vanpool>

#### **VanGo – Lake County Pilot**

The second pilot program announced was the creation of VanGo. VanGo is Pace's new Self-Service Transportation option for first and last mile trips from local Metra stations to an employees place of business.

- a. Pace will have vans available at the Lake Forest and Lake Cook Road Metra Stations for pre-registered drivers to use for the occasional first mile/last mile trip to their place of employment.

- b. To reserve a van, pre-registered drivers simply email VanGo.LakeCounty@PaceBus.com or call (847) 364-3964 between the hours of 8:00 A.M. and 4:30 P.M. Monday through Friday to reserve a van for the following day.
- c. A Pace representative will confirm the reservation and email or text the driver the van assignment and code to unlock the van door and access the key.
- d. The fare is \$5.00 round trip. Pace will bill the driver directly at the end of each month.

You may be asking yourself how employees sign up for VanGo. Well drivers must pre-register and complete a driver application, driver agreement, and credit card authorization form with Pace. To request the driver documents one can simply email VanGo.LakeCounty@PaceBus.com.

Drivers must also meet the following Pace Criteria:

- Drivers must be at least 23 years of age and have a valid driver's license.
- Drivers must have a good driving record.
- Drivers are required to pass a physical and drug test.
- Drivers are required to complete an on-line driver training class.

Pace maintains the vehicles to the highest standards. Pace fleet staff will fuel, wash, and maintain the vehicles as needed.

For more information check out <https://www.pacebus.com/vango>

VanGo is currently only operating at 2 Lake County Metra Stations, Lake Forest and Lake Cook Road, but may see new locations added in the future.

### **Regional Connect Pass**

As Pace continues to recover from the pandemic, the agency continuously seeks opportunities to encourage riders to return to public transit and looks to provide customers with improved fare payment options and flexibility when paying for rides on multiple service providers.

As part of a six-month fare pilot project that began in late 2021, Pace continued to accept the 7-Day and 30-Day CTA/Pace Passes at the reduced rates that were implemented by the CTA as part of its 2022 budget.

More recently, Metra lowered the price of its own 30-day pass. Pace's Board voted at its June meeting to permanently accept the 7-Day and 30-Day CTA/Pace Passes at the reduced rates; to allow Pace to continue to participate in the Metra Link-Up Pass Program, which has now been branded the "Regional Connect Pass" under the new business rules and at the new fare rate; and to discontinue the duplicative Pace PlusBus Pass.



175 W. Jackson Blvd.  
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[www.rtachicago.org](http://www.rtachicago.org)

## July Update

### **New fare products from CTA, Metra, and Pace could save riders \$200 a month with greater flexibility**

On June 8, the CTA, Metra, and Pace introduced the Regional Connect Pass, a new fare product that, when coupled with Metra's new "Super Saver" \$100 monthly pass [being piloted this July-September](#), will give Chicago area residents unlimited rides on all three systems – at a reduced cost and with greater flexibility.

### **Strategic Plan development highlights possible future budget gaps, seeks ideas and input**

A technical group made up of representatives from all three transit operators and the Chicago Metropolitan Agency for Planning have [begun initial work](#) on a 10-Year Financial Plan as part of the Strategic Plan. The group's objective is to develop options for re-achieving balance between expected revenues and expenses for the system in the wake of the COVID pandemic.

The RTA will host a Strategic Plan Movers Workshop on Tuesday, August 9. Register [here](#) and learn more about what the Strategic Plan Working Groups have accomplished so far and help the agency advance toward action on key goals and strategies.

### **Nonprofits band together for better paratransit**

Coordinating paratransit services to be efficient, flexible, and more borderless is a challenge providers have grappled with for decades. Luckily for Chicagoland, a collective of eight nonprofit agencies is using federal funds to [tackle the issue](#).

### **Transportation Tuesday series**

The RTA Transportation Tuesday webinar series, which gathered RTA staff, community leaders, and transportation experts, concluded last month. Archived presentations can be found on the [RTA YouTube channel](#). The topics covered include transit-oriented development, interagency wayfinding signage, curb management strategies, and transportation data in the Chicagoland region.

Selections: RCO: 11	Engineer:
Fund:	Tip Fund:

LOCAL ROADS & STREETS STATUS SHEET FOR FEDERAL AID PROJECTS  
 LOCATED IN THE CMAP **MC HENRY REGIONAL COUNCIL**

11

Local Agency/ Section	Project Route/ From/ To/ Scope of Work 1/ Scope of Work 2/	Current CE3 Estimate	T.I.P. NO. FFY/Fund/Cost/Fed Cost/Authorized	Environ- mental Approval	Public Hearing Status	Design Appv Requested CD DT	ROW Req CD DT	Plans to CO CD DT	Target Let/ Low Bid/ Award Date Award Amt	E1/E2 Consultant	Jntagmt Cd Dt RR Agmt Cd Dt 404 Permit Cd Dt	A-95 Review STATE * NIPC *
1 ALGONQUIN 160009000PV	- Main St Railroad St to Edwards St RECONSTRUCTION	2,049,000	11-13-007 E1: / / / / E2: / / / / RW: / / / / C: MYB / / 2,049,000 /	CE 1 6 /7 /2017	NR		Yes		1/1/2024	AH CR CBBEL	NR	* NR * * * * * * * * * *
2777							REMARKS: Stage 1 (Bridge) under 16-00090-01-BR using STP-L. Let 3/9/2018. Roadway unfunded (8-13-21).					
2 ALGONQUIN 170009200PV	Var - Var-See Notes  RECONSTRUCTION DRAINAGE; BIKEWAY	3,905,895	11-21-008 E1: / / / / E2: / / / / RW: / / / / C: FFY22 / STPL / 3,905,895 / 1,500,000 /	CE 1 9 /29 /2021	NR		No		1/20/2023	AH CR HR Green	NR	* NR * * * * * * * * * *
3104							REMARKS: Ocoola Trl; Schuett St; Scott St; Souwanas Trl. 6/17/22 Item No. 186 rejected. Rebid on 1-20-2023 per LPA (6-21-22).					
3 MC HENRY 200008700RS	FAU0087 - Green St IL 120 to Municipal Dr RESURFACING	1,149,000	11-21-007 E1: / / / / E2: / / / / RW: / / / / C: FFY23 / STPL / 1,149,000 / 919,000 /	CE 1 6 /22 /2021	NR		No		11/18/2022	AH CR H.R. Gree	NR	* NR * * * * * * * * * *
3133							REMARKS:					
4 SPRING GROVE 210001800RS	FAU0157 - Winn Rd IL 173 to Main St RESURFACING	1,662,500	11-21-0012 E1: / / / / E2: / / / / RW: / / / / C: FFY24 / STPL / 1,662,500 / 1,330,000 /	CE 1 7 /23 /2021	NR		No		1/19/2024	AH CR HR Green	NR	* NR * * * * * * * * * *
3099							REMARKS:					

## CMAP News

The CMAP office is now open daily and [staff](#) have established hybrid work schedules. [CMAP committee meetings](#) may be held in-person or virtually, depending on the unique situations and requirements of the Open Meetings Act (OMA) that apply to each committee. Meeting materials, webinar links, minutes, and recordings can now be found on [CMAP's Legistar page](#).

For general questions, you can send an email through our [contact form](#) and remember to stay up to date by subscribing to CMAP's Update emails or [viewing online](#).

## Help Improve CMAP's Information Sharing

We are reviewing our communications and engagement tools to enhance how the agency effectively delivers data, information, and news you can use! What content are you most interested in? What information do you need to help you deliver services and advance your mission?

Please take a few minutes to answer our [anonymous survey](#) to help us shape what, how, and when we share information. Please respond by **July 22, 2022**.

## Job Opportunities

CMAP is hiring for several positions: a [Deputy Executive Director](#), [Principal, Public Private Partnerships](#), and [Senior Research Analyst](#) in the Research, Analysis, and Programming division; two [Senior Planners \(ADA\)](#) and two [Associate Planners \(ADA\)](#) in the Planning Division; a [Communications Principal](#) and [Internal Communications Associate or Specialist](#) in the Communications and Engagements Division, and a [Policy Principal](#), in the Plan Implementation and Legislative Affairs Division. Visit CMAP's [Careers page](#) to discover more job and internship opportunities.

## IIJA resources for communities

CMAP recently launched a new [online resource](#) providing communities and partners with the essential information they need to know about IIJA and its benefits to the region. This includes funding estimates for existing transportation programs as well as new programs that can make our regional transportation system stronger and more resilient for the next generation of users.

## Provide input on the ON TO 2050 regional plan update

[ON TO 2050](#), the region's long-range plan, was adopted by the CMAP Board in October 2018. The plan is guided by three principles: inclusive growth, resilience, and prioritized investment. The update to this 30-year plan is important because conditions in the region change each year, requiring CMAP to update portions every four years. The update process aims to reaffirm the ON TO 2050 plan vision, adjust data projects, review accomplishments, and reassess transportation needs. View an introduction, summary, and more on the [ON TO 2050 plan update website](#), and share your comments with [onto2050@cmapp.illinois.gov](mailto:onto2050@cmapp.illinois.gov) by **Saturday, August 13, 2022**. Stay tuned for information about a public hearing on the draft update on **Thursday, August 11, 2022**.

## Provide input on the Air Quality Conformity analysis

CMAP also seeks input on the air quality conformity analysis and corresponding Transportation Improvement Program (TIP) amendment 23-01. The analysis and amendment include additions, deletions, and changes to both existing IP projects and projects proposed to be designated as ON TO 2050 regionally significant projects. Please review the [cover memo](#) and list of [conformity amendments](#). Submit comments by [mail](#), [email](#), or phone (312-454-0400) through **Saturday, August 13**.

### **Provide input on the Transportation Improvement Program**

Additionally, CMAP also is seeking input on the [Federal Fiscal Year \(FFY\) 2023–2028 TIP](#). The FFY 2023–2028 TIP discusses how projects implement recommendations of the ON TO 2050 update. It also describes the federal, state, and local resources available to northeastern Illinois and explains the processes for selecting TIP projects. Submit comments by [mail](#), [email](#), or phone (312-454-0400) through **Saturday, August 13**.

## **Program Status Updates**

### **CRRSAA Program**

On December 27, 2020, Title IV of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) was enacted. This Act included a local government component that resulted in the allocation of \$42,647,247 to be programmed and managed by CMAP. In the fall of 2021, the STP Project Selection Committee and local councils of mayors selected [33 individual project phases](#) to be fully or partially funded with CRRSAA funding. The [Regional CRRSAA Program Status Report](#) and [Regional CRRSAA Accounting Summary](#) were updated in June 2022.

Staff Contact: [Kama Dobbs](#) (312-386-8710)

### **Surface Transportation Program**

The [STP Project Selection Committee](#) meeting was held on June 23, 2022. [Meeting Materials](#) were posted on CMAP’s Legistar page. The next meeting is scheduled for **August 11, 2022**. Program status reports for the STP Shared Fund, based on quarterly updates submitted by project sponsors and an accounting of available, programmed, and obligated funds for the region are available on CMAP’s [STP web page](#).

The subregional Councils of Mayors and the City of Chicago hosted STP-Local calls for projects earlier this year for the next five federal fiscal years (2023-27). Public comment on the draft proposed programs will occur throughout the summer on varying schedules. Visit [CMAP’s STP web page](#) to learn more about the STP local programs and how to submit feedback.

Staff Contact: [Kama Dobbs](#) (312-386-8710)

### **Congestion Mitigation and Air Quality (CMAQ) and Transportation Alternatives (TAP-L)**

The latest CMAQ Project Selection Committee (PSC) meeting was held on **June 23, 2022**, and the committee’s next meeting is scheduled for **September 1, 2022**. [Meeting materials](#) are posted on CMAP’s Legistar page one week in advance of the meetings. Information for submitting change requests for CMAQ or TAP-L funded projects can be found on CMAP’s [CMAQ web page](#).

Staff Contact: [Doug Ferguson](#) (312-386-8824)

### **Regional Safety Action Agenda**

CMAP is continuing its work to improve traffic safety and address the growing number of fatalities and injuries. Staff is wrapping up a policy paper on speed management and continuing work on a bicycle and pedestrian safety policy paper. Our Safety Resource Group will meet early this summer to discuss implementation of the recommendations for speed management and initial bicycle and pedestrian safety policy recommendations. Three Local Technical Assistance safety projects are wrapping up in Calumet City, Bellwood and Riverdale, which will result in Safety Action Plans for each community, so that they can apply for implementation funding. The Regional Speed Data project is underway; speed

data providers are currently submitting qualifications for consideration. This project will analyze speed probe data to understand patterns of speeding and target appropriate countermeasures to curb it. Staff is closely monitoring safety-related programs enabled by IJIA, including the Safe Streets for All funding opportunity to position our region to take advantage of these safety dollars.

Staff Contact: [Victoria Barrett](#) (312-386-8641)

## **CMAP Products and Data**

### **Communities share ideas on improving local incentives**

Recently, Economic Development directors throughout northeastern Illinois gathered for one of three meetings of the Collaborative on Local Incentives, a group of peer communities participating in conversations around the use of incentives, economic development, and business retention. The collaborative builds on [Improving local development incentives](#), a CMAP guide published in 2020 that provides local governments with clear strategies for more effective incentive use.

Staff Contact: [Dustin Calliari](#) (312-386-8612)

### **Community Data Snapshots**

In August 2021, CMAP updated its [Community Data Snapshots](#) with [new data from the 2020 Census](#). These data-rich snapshots — one for each of the region's 284 municipalities, 77 Chicago neighborhoods, and seven counties — summarize demographics, housing, employment, transportation habits, and other key details. They help local leaders and the public track progress and make informed decisions in their communities. Raw data, including more detail, is available on the [Data Hub](#). Snapshots using updated 5-year ACS data and employment and tax base tables will be available in the following weeks.

## **From Our Partners**

### **ITEP Webinar Series**

The Active Transportation Alliance and Illinois Public Health Institute are working in partnership with IDOT to host a 3-part webinar series to help communities apply for funding from the Illinois Transportation Enhancement Program (ITEP). The ITEP grant cycle will open the beginning of August and will provide \$125 million in state and federal funding for walking, biking, and trail projects around the state. See below for registration links to each of the webinars:

Webinar 1 – Wednesday, July 20, 9:30am to 11am CT

- [Register here](#)
- Content: ITEP basics, eligibility criteria, what's new, and best practices

Webinar 2 – Wednesday August 24, 9:30am to 11am CT

- [Register here](#)
- Content: Scoring, application walk through, Q&A with IDOT staff

Webinar 3 – Wednesday September 14, 9:30am to 11am CT

- [Register here](#)
- Content: Q&A with IDOT staff

### **Webinar: Bringing Active Transportation Funding to Your Community**

With increased federal and state transportation funding from the national Bipartisan Infrastructure Law and the Rebuild Illinois capital plan, there are more opportunities than ever to advance active



transportation projects at the local and regional level. Join MPC on **July 14 at 12pm CT** for an overview of frequently used and upcoming pedestrian and bicycle funding opportunities and tips for creating successful grant applications. Register [here](#).

### **Metra Super Saver Pass**

Metra is now offering a \$100 “Super Saver” monthly pass that allows for unlimited travel anywhere in the system. Discounted passes are available for eligible seniors and K-12 students. Thanks to the [Fair Transit South Cook Pilot](#), riders on the Metra Electric and Rock Island lines will pay a reduced fare of \$70. The Super Saver pass can be purchased from any ticket agent or on the Ventra app. Additional information as well as details on the Regional Connect Pass and Metra Family Fares programs can be found [here](#).

### **Equitable Transit-Oriented Development**

Transit connects people to opportunities, but just 21 percent of the region's jobs and 8 percent of its population are located within a quarter mile of rapid transit. Equitable transit-oriented development (ETOD) strategies aim to enhance economic development and provide better access to transit and jobs. On Tuesday, May 24, [Metropolitan Planning Council](#) and the [Center for Neighborhood Technology](#) demonstrated an [online calculator](#) they created, which provides data and information for policymakers and developers to explore the benefits of ETOD. A recording of the demonstration and roundtable can be found [here](#).

## **Funding Opportunities**

### **Railroad Crossing Safety Program (Section 130)**

The Illinois Department of Transportation (IDOT) is accepting applications for FY2023 and FY2024 Highway Grade Crossing Safety Program projects to be funded with Federal Section 130 Funds. IDOT continues to place a strong emphasis on crossing closure, warning device, and circuitry related projects, as opposed to crossing surface and roadway approach projects. The Infrastructure Investment and Jobs Act increased the federal share for portions of the to 100 percent. This important change means there will be no local funding match requirements for new projects. All local agency projects (non-railroad work) using Section 130 funds (in part or in whole) must be placed on a State Letting. Applications are due by **July 29, 2022**. More information can be found in [Circular Letter 2022-16](#).

### **Bridge Investment Program**

FHWA is accepting applications for the [Bridge Investment Program](#), which helps replace, rehabilitate, and preserve the nation’s bridges. More than \$2.4 billion in grant funding is available for federal fiscal year 2022. The program also expands the list of eligible applicants to include all levels of government.

Applications for large bridge projects (eligible costs more than \$100 million) are due **Tuesday, August 9, 2022**. Applications for smaller projects (less than \$100 million) are due **Thursday, September 8, 2022**.

### **Safe Streets and Roads for All**

Local, county, and regional governments can apply for \$1 billion in grant funding for federal fiscal year 2022 under the [Safe Streets and Roads for All program](#). The program supports projects that can address the national roadway safety crisis. Grant funding can help governments develop comprehensive safety plans and implement strategies that can improve the safety of all travelers. Final applications are due **Thursday, September 15**.

**U.S. DOT Reconnecting Communities Pilot Program**

U.S. DOT is accepting applications for the [Reconnecting Communities Pilot Program](#) (RCP). Funds for the FY 2022 RCP Program are to be awarded on a competitive basis for projects that reconnect communities by removing, retrofitting, or mitigating highways or other transportation facilities that create barriers to community connectivity, including to mobility, access, or economic development. U.S. DOT will hold a webinar on **Thursday, July 14 at 11am CT** to provide a general overview of the RCP program, including elements of the [NOFO](#) and the evaluation criteria. Register [here](#). Applications are due by **October 13, 2022**.

**Stormwater Management Assistance within MWRD service area**

Metropolitan Water Reclamation District of Greater Chicago (MWRD) is accepting applications for [stormwater management assistance](#). Municipalities and public agencies can apply for funding assistance to support local green infrastructure projects that mitigate flooding, protect water quality, and enhance public spaces. Projects must be within the MWRD's [corporate boundaries](#). Applications are due by **Monday, September 5**.

**Public Input**

**Metra seeks input on strategic plan**

Metra is looking for feedback on proposed revisions to its mission and vision statements and new strategic goals. Your feedback will help create Metra's next five-year strategic plan. [Answer the survey](#) by **Friday, July 15**.

**Engage with CMAP: Share your Thoughts on Planning Projects**

Plans that reflect the values and priorities of their communities require meaningful public participation from residents and stakeholders. The [Engage with CMAP](#) website allows residents to share their thoughts, ideas, and concerns on current planning projects in the region. Ongoing projects includes the [Illinois International Port District Master Plan](#), [Laraway Road at Union Pacific Railroad Crossing](#) and [Hegewisch Neighborhood Plan](#). Stay informed and connected by checking out the site. We look forward to hearing from you!

July 11, 2022

## **Federally-Funded Project Implementation and Active Program Management Reminders: July – August 2022**

### **July 2022**

#### **7/15/22**

Changes to project information such as scope, schedule, or funding that needs to be updated in the CMAP TIP should be provided to Planning Liaisons. Planning Liaisons must submit these changes to CMAP by 7/19/22. Documentation (award letter, approval email, Letter of Intent, etc.) from the awarding agency must be provided if funds are being added to projects. CMAP is the awarding agency for STP-SF, CMAQ, and TAP-L; IODT is the awarding agency for ITEP (a.k.a TAP-S), SRTS, HSIP, STP-Bridge, Rail-Highway Grade Crossings, Rail-Highway Safety, and state fund sources; IDNR is the awarding agency for Recreational Trails. For more about locally sponsored projects in the TIP, see [this memo](#).

### **August 2022**

#### **8/1/2022**

Final agreements due to IDOT District 1 for construction phases of projects targeting the **September state letting**.

Final ROW documents due to IDOT District 1 Bureau of Land Acquisition for projects **requiring ROW** and targeting the **September state letting**.

Draft agreements for all **engineering phases that were granted a six-month obligation deadline extension of FFY 2022 STP** (Local or Shared Fund) **or CRRSAA** (Local or Shared Fund) **funding** must be submitted to IDOT by this date in order for the phase to be eligible for consideration for an additional three-month extension.

#### **8/8/2022**

Final plans and draft agreements due to IDOT District 1 for construction phases of projects targeting the **November state letting**. (If needed, revised PPI forms should be submitted by 8/3/2022)

#### **8/10/2022**

If needed, ROW must be certified by the IDOT Bureau of Land Acquisition for construction phases of projects targeting the **September state letting**.

July 11, 2022

### **8/12/2022**

Pre-final plans due to IDOT District 1 for construction phases of projects targeting the **January 2023 state letting**.

### **8/18/2022**

Scope, schedule, and cost change requests for **CMAQ or TAP-L** funded construction phases targeting the November state letting, or non-construction projects targeting federal authorization before October 7, 2022 must be submitted to [transportation@cmap.illinois.gov](mailto:transportation@cmap.illinois.gov).

### **Other recommended actions in August**

Changes to project information such as scope, schedule, or funding that needs to be updated in the CMAP TIP should be provided to Planning Liaisons. Planning Liaisons must submit these changes to CMAP by 9/6/22. Documentation (award letter, approval email, Letter of Intent, etc.) from the awarding agency must be provided if funds are being added to projects. CMAP is the awarding agency for STP-SF, CMAQ, and TAP-L; IODT is the awarding agency for ITEP (a.k.a TAP-S), SRTS, HSIP, STP-Bridge, Rail-Highway Grade Crossings, Rail-Highway Safety, and state fund sources; IDNR is the awarding agency for Recreational Trails. For more about locally sponsored projects in the TIP, see [this memo](#).

###

This document is intended to provide project sponsors with a comprehensive list of upcoming deadlines and recommended activities for federally-funded projects, including key deadlines for fulfilling the region's STP Active Program Management policies. This information is compiled from these official sources:

- IDOT Bureau of Local Roads and Streets Region One Letting Schedule (latest version available from IDOT District 1 BLRS staff)
- CMAP's TIP Due Dates and Master Transportation Schedule (latest version posted [here](#))
- [STP Active Program Management Policies](#)
- CMAP's [Regional transportation call for projects](#) web page (Refreshed prior to each call for STP-Shared Fund, CMAQ, and TAP-L projects)
- CMAP's [CMAQ and TAP-L Program Management and Resources web page](#)

***If there are any discrepancies in dates, the dates listed on the official sources above should be used. Consult with your planning liaison to resolve any questions or concerns.***

PROJECT INFORMATION					CURRENT PROGRAM					STAFF RECOMMENDED					Totals	
Rank	Project	Municipality	Project Type	Phase(s)	2021	2022	2023	2024	2025	2023	2024	2025	2026	2027	Unfunded	5-year total
					STP	CRRSAA	STP Balance	CRRSAA Balance	STP	CRRSAA	STP Balance	CRRSAA Balance				
					\$ 4,348,790	\$ 3,559,118	\$ 3,296,992	\$ 3,202,139	\$ 3,480,707	\$ 5,005,915	\$ 5,097,763	\$ 5,186,730	\$ 5,276,979	\$ 5,299,478	\$4,298,954	\$8,814,306
					\$ -	\$ 1,080,983	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
					\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,878,173	\$ 1,391,906	\$ 1,706,023	\$ 5,276,979	\$ 5,299,478		
					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
8	N Main St	Crystal Lake	R&I	CON		\$1,500,000										\$0
9	Madison/South/Lake St	Woodstock	R&I	CON	\$1,500,000											\$0
1	Mason Hill Rd	Bull Valley	Resurfacing	CON, CE		\$1,144,000										\$0
2	Halgus Rd	Lakewood	Resurfacing	CON	\$712,726											\$0
3	Ringwood Rd	Ringwood	Resurfacing	CON, CE		\$206,708										\$0
4	Riverside Dr	Johnsburg	Resurfacing	CON, CE			\$1,500,000			\$1,500,000					\$0	\$0
5	Cary/Main St	Algonquin	R&I	CON	\$1,500,000											\$0
6	Green St	McHenry	Resurfacing	CON, CE			\$919,187			\$919,187						\$919,187
7	Prospect St	Marengo	Resurfacing	E2		\$60,000										\$1,440,000
7	Prospect St	Marengo	Resurfacing	CON, CE				\$1,440,000			\$1,440,000					\$1,440,000
10	Souwanas Tr	Algonquin	Resurfacing	CON		\$1,080,983									\$0	\$0
						\$419,017										
11	Kreutzer Rd	Huntley	R&I	CON					\$1,500,000			\$1,500,000				\$1,500,000
12	Johnsburg Rd	Johnsburg	Resurfacing	CON, CE					\$1,500,000			\$1,500,000				\$1,500,000
13	Valley View Rd	Prairie Grove	Resurfacing	CON, CE	\$527,417											\$0
14	Winn Rd	Spring Grove	Resurfacing	CON, CE				\$1,330,000			\$1,330,000				\$0	\$1,330,000
15	Bull Valley Rd	McHenry	Resurfacing	CON, CE				\$262,139			\$262,139				\$0	\$262,139
16	Reed Rd	Lake in the Hills	Resurfacing	CON, CE	\$78,649											\$0
17	Diggins St	Harvard	Resurfacing	E1	\$29,998											\$471,240
17	Diggins St	Harvard	Resurfacing	E2		\$38,556										\$471,240
17	Diggins St	Harvard	Resurfacing	CON, CE			\$471,240			\$471,240						\$471,240
18	Four Seasons Blvd/Sullivan Lake	Lakemoor	R&I	E1		\$43,000										\$491,568
18	Four Seasons Blvd/Sullivan Lake	Lakemoor	R&I	E2			\$43,000			\$43,000						\$491,568
18	Four Seasons Blvd/Sullivan Lake	Lakemoor	R&I	CON, CE				\$448,568			\$448,568				\$665,372	\$491,568
19	Marengo Rd	Harvard	Resurfacing	CON, CE					\$480,707			\$480,707			\$333,667	\$480,707
20	Three Oaks/Sands	Crystal Lake	R&I	CON			\$183,715			\$183,715					\$1,316,285	\$183,715
21	Pingree Rd	Lake in the Hills	Resurfacing	CON, CE		\$212,649										\$0
22	Howe/Wonder Lake Rd	Greenwood	R&I	E2		\$21,115				\$10,600						\$235,750
22	Howe/Wonder Lake Rd	Greenwood	R&I	CON, CE			\$363,565				\$225,150				\$138,415	\$235,750
23	Dartmoor Dr	McHenry	Resurfacing	CON, CE	Unable to fund - project sponsor has two awarded projects.					Unable to fund - project sponsor has two awarded projects.					\$329,780	\$0
24	Crystal Lake Ave/Walkup	Crystal Lake	R&I	CON	Unable to fund phase due to fiscal constraint.					Unable to fund phase due to fiscal constraint.					\$1,216,141	\$0
25	Crystal Lake Rd	Lake in the Hills	Resurfacing	CON, CE	Unable to fund - project sponsor has two awarded projects.					Unable to fund - project sponsor has two awarded projects.					\$299,294	\$0
26	McCullom Lake Rd	McCullom Lake	Resurfacing	E2	Dropped out.					Dropped out.						\$0
26	McCullom Lake Rd	McCullom Lake	Resurfacing	CON, CE	Dropped out.					Dropped out.						\$0

KEY	
Project type - R&I - Resurfacing	<b>Bold - project received partial funding</b>
Colors	Phases
active program - fully funded - requested year	E1 Preliminary Engineering
active program - fully or partially funded - later year	E2 Design Engineering
contingency program	CON Construction
	CE Construction Engineering

COUNCIL MARKS - available funding for each Federal Fiscal Year				
2023	2024	2025	2026	2027
\$ 5,005,915	\$ 5,097,763	\$ 5,186,730	\$ 5,276,979	\$ 5,368,798

(7/18/2022)  
FY 2023 - FY 2027

					The project sponsors' requested funding amount and funding year.					The staff recommended funding amount and funding year.					Totals			
PROJECT INFORMATION					REQUESTED PROGRAM					DRAFT RECOMMENDED PROGRAM					Unfunded	5-year total		
Rank	Points	Project	Municipality	Project Type	Phase(s)	FFY2023	FFY2024	FFY2025	FFY2026	FFY2027	programmed balance	FFY2023	FFY2024	FFY2025	FFY2026	FFY2027		
						\$ 5,005,915	\$ 5,097,763	\$ 5,186,730	\$ 5,276,979	\$ 5,368,798		\$ 5,005,915	\$ 5,097,763	\$ 4,878,328	\$ 5,276,979	\$ 5,299,478	\$8,549,894	\$ 25,558,463
0		Riverside Drive	Johnsburg	Resurfacing	CON/CE	\$ 1,500,000						\$ 1,500,000						\$ 1,500,000
0		Green Street	McHenry	Resurfacing	CON/CE	\$ 919,187						\$ 919,187						\$ 919,187
0		Diggins Street	Harvard	Resurfacing	CON/CE	\$ 471,240						\$ 471,240						\$ 471,240
0		Four Seasons Blvd/Sullivan Lake	Lakemoor	R&I	E2	\$ 43,000						\$ 43,000						\$ 43,000
0		Four Seasons Blvd/Sullivan Lake	Lakemoor	R&I	CON/CE		\$ 448,568						\$ 448,568					\$ 448,568
0		Three Oaks/Sands	Crystal Lake	R&I	CON/CE	\$ 183,715						\$ 183,715						\$ 183,715
0		Howe/Wonder Lake Rd	Greenwood	R&I	E2	\$ 21,115						\$ 21,115						\$ 21,115
0		Howe/Wonder Lake Rd	Greenwood	R&I	CON/CE		\$ 363,565						\$ 363,565					\$ 363,565
0		Prospect Street	Marengo	Resurfacing	CON/CE		\$ 1,440,000						\$ 1,440,000					\$ 1,440,000
0		Winn Road	Spring Grove	Resurfacing	CON/CE		\$ 1,330,000						\$ 1,330,000					\$ 1,330,000
0		Bull Valley Road	McHenry	Resurfacing	CON/CE		\$ 262,139						\$ 262,139					\$ 262,139
0		Kreutzer Road	Huntley	R&I	CON/CE			\$ 1,500,000						\$ 1,500,000				\$ 1,500,000
0		Johnsburg Road	Johnsburg	Resurfacing	CON/CE			\$ 1,500,000						\$ 1,500,000				\$ 1,500,000
0		Marengo Road	Harvard	Resurfacing	CON/CE			\$ 480,707						\$ -				\$ -
1	71.00	Randall Road	McHenry County	R&I	CON/CE		\$ 1,500,000						\$ 1,253,491				\$246,509	\$ 1,253,491
2	67.42	Marengo Road	Harvard	Resurfacing	E1	\$ 75,000						\$ 75,000						\$ 75,000
2		Marengo Road	Harvard	Resurfacing	E2		\$ 90,000							\$ 90,000				\$ 90,000
2		Marengo Road	Harvard	Resurfacing	CON/CE			\$ 1,320,000							\$ 1,320,000			\$ 1,320,000
3	65.10	Lakewood Road	McHenry County	R&I	CON/CE			\$ 1,500,000									\$1,500,000	\$ -
4	62.50	Ballard Road	Lakewood	Resurfacing	CON/CE				\$ 1,069,873					\$ 1,069,873				\$ 1,069,873
5	60.10	Queen Ann Road	Bull Valley	Resurfacing	CON/CE				\$ 714,170					\$ 714,170				\$ 714,170
6	60.00	First Street	Cary	Resurfacing	CON/CE		\$ 1,054,000							\$ 1,054,000				\$ 1,054,000
7	58.20	Barreille Road	McHenry	Resurfacing	E1		\$ 12,000							\$ 12,000				\$ 12,000
7		Barreille Road	McHenry	Resurfacing	E2			\$ 30,000							\$ 30,000			\$ 30,000
7		Barreille Road	McHenry	Resurfacing	CON/CE				\$ 709,906							\$ 709,906		\$ 709,906
8	52.20	Boyer Road	Algonquin	R&I	CON/CE				\$ 1,500,000						\$ 1,500,000			\$ 1,500,000
9	51.45	Three Oaks/Sands	Crystal Lake	R&I	CON/CE	\$ 1,316,285						\$ 1,316,285						\$ 1,316,285
10	43.90	Maple Street	Marengo	R&I	E2			\$ 35,000							\$ 35,000			\$ 35,000
10		Maple Street	Marengo	R&I	CON/CE				\$ 896,000							\$ 896,000		\$ 896,000
11	42.79	Ringwood Road/Fox Lake Road	Johnsburg	Resurfacing	CON/CE				\$ 1,500,000							\$ 1,500,000		\$ 1,500,000
12	42.39	County Line Road	Algonquin	Resurfacing	CON/CE				\$ 1,360,800								\$1,360,800	\$ -
13	40.63	West Wonder Lake Road	Wonder Lake	Resurfacing	CON/CE			\$ 722,328						\$ 722,328				\$ 722,328
14	26.86	Miller Road	Lake in the Hills	Resurfacing	CON/CE		\$ 895,840										\$ 895,840	\$ -
15	23.40	Frank Road	Lake in the Hills	R&I	CON/CE		\$ 1,500,000									\$ 1,500,000		\$ 1,500,000
16	21.15	Winn Road/East Solon Road	Spring Grove	Resurfacing	CON/CE			\$ 704,560							\$ 607,936		\$ 96,624	\$ 607,936
17	20.80	Barreille Road	Prairie Grove	Resurfacing	CON/CE					\$ 693,572						\$ 693,572		\$ 693,572
18	18.19	Raffel Road	Woodstock	Resurfacing	CON/CE	\$ 1,045,099						\$ 476,373					\$ 568,726	\$ 476,373
19	16.75	Riverside Drive	McHenry	Resurfacing	E1		\$ 12,000											\$ -
19		Riverside Drive	McHenry	Resurfacing	E2			\$ 25,000										\$ -
19		Riverside Drive	McHenry	Resurfacing	CON/CE				\$ 531,361								\$ 531,361	\$ -
20	15.70	Bunker Hill Road	Algonquin	Resurfacing	CON/CE	\$ 1,176,560											\$ 1,176,560	\$ -
21	15.25	South Street	Woodstock	Resurfacing	CON/CE	\$ 1,631,974											\$ 1,631,974	\$ -
22	8.50	Crystal Lake Road	Lake in the Hills	Resurfacing	CON/CE		\$ 541,500										\$ 541,500	\$ -

Contact  
Jazmin Vega, Planning Liaison  
[jsvega@mchenrycountyil.gov](mailto:jsvega@mchenrycountyil.gov)  
815.334.4642



**Part 1: Scope/Compensation/Term**

- A. **Scope of Services and Responsibilities.** CMAP and the GOVERNMENTAL BODY agree as specified in Part 4.
- B. **Compensation and Method of Payment.** Compensation (if any) shall be as specified in Part 5. Subject to the conditions of this Agreement, CMAP will honor invoices in amounts deemed by it to be proper to insure the carrying out of the approved scope of services and shall be obligated to pay the GOVERNMENTAL BODY such amounts as may be approved by CMAP. If an invoice is not acceptable, CMAP shall promptly provide the GOVERNMENTAL BODY a written statement regarding its ineligibility or deficiencies to be eliminated prior to its acceptance and processing. Transfer of funds must be made electronically. Please complete Attachment 2: Electronic Billing Information and return to CMAP Attn: Finance Department.
- C. **Tax Identification Number.**

GOVERNMENTAL BODY certifies that:

- 1. The number shown on this form is a correct taxpayer identification number (or it is waiting for a number to be issued.), **and**
- 2. It is not subject to backup withholding because: (a) it is exempt from backup withholding, or (b) has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the GOVERNMENTAL BODY that it is no longer subject to backup withholding, **and**
- 3. It is a U.S. entity (including a U.S. resident alien).

Name of GOVERNMENTAL BODY: \_\_\_\_\_

Taxpayer Identification Number: \_\_\_\_\_

Unique Entity Identifier or CAGE Code: \_\_\_\_\_

DUNS No. \_\_\_\_\_

Legal Status (Circle one): Tax-exempt    Government    Nonresident Alien  
Other\_\_\_\_\_

- D. **Compliance with Registration Requirements.** GOVERNMENTAL BODY and its sub-contractors shall: (i) be registered with the Federal SAM if seeking an Award that is partially or fully paid by Federal funds, and registered with the State equivalent of SAM; (ii) be in good standing with the Illinois Secretary of State, if applicable; and (iii) have a valid DUNS number. It is the GOVERNMENTAL BODY'S responsibility to remain current with these registrations and requirements. If the GOVERNMENTAL BODY'S status with regard to any of these requirements change, or the certifications made in and information provided in the Uniform Grant Application changes, Grantee must notify the Grantor in accordance with ARTICLE XVIII.
- E. **Term of Agreement.** The term of this Agreement shall be from **July 1, 2022 to June 30, 2023**
- F. **Amendments.** All changes to this Agreement must be mutually agreed upon by CMAP and the GOVERNMENTAL BODY and be incorporated by written amendment, signed by the parties.



## **Part 2: General Conditions**

The following are general conditions of approval and procedural guidelines and specific terms of Agreement to which all projects are subject. Signatories of this Agreement certify that these conditions and procedures and terms and the conditions and procedures specific to this project will be adhered to unless amended in writing.

### **1. Complete Agreement.**

- a. This Agreement including all exhibits and other documents incorporated or referenced in the Agreement, constitutes the complete and exclusive statement of the terms and conditions of the Agreement between CMAP and GOVERNMENTAL BODY and it supersedes all prior representations, understandings and communications. The invalidity in whole or in part of any term or condition of this Agreement shall not affect the validity of other terms or conditions.
- b. CMAP's failure to insist in any one or more instances upon the performance of any terms or conditions of this Agreement shall not be construed as a waiver or relinquishment of CMAP's right to such performance by the GOVERNMENTAL BODY or to future performance of such terms or conditions and GOVERNMENTAL BODY'S obligation in respect thereto shall continue in full force and effect. The GOVERNMENTAL BODY shall be responsible for having taken steps reasonably necessary to ascertain the nature and location of the work, and the general and local conditions that can affect the work or the cost thereof. Any failure by the GOVERNMENTAL BODY to do so will not relieve it from responsibility for successfully performing the work without additional expense to CMAP.
- c. CMAP assumes no responsibility for any understanding or representations made by any of its officers, employees or agents prior to the execution of this Agreement, unless such understanding or representations by CMAP are expressly stated in this Agreement.
- d. Changes: CMAP may from time to time order work suspension or make any change in the general scope of this Agreement including, but not limited to changes, as applicable, in the drawings, specifications, delivery schedules or any other particular of the description, statement of work or provisions of this Agreement. If any such change causes an increase or decrease in the cost or time required for performance of any part of the work under this Agreement, the GOVERNMENTAL BODY shall promptly notify CMAP thereof and assert its claim for adjustment within thirty (30) days after the change is ordered. A written amendment will be prepared for Agreement between CMAP and the GOVERNMENTAL BODY for changes in scope, time and/or costs. No amendments are effective until there is a written Agreement that has been signed by both parties. No claim by the GOVERNMENTAL BODY for equitable adjustment hereunder shall be allowed if asserted after final payment under this Agreement.
- e. Changes to any portion of this Agreement shall not be binding upon CMAP except when specifically confirmed in writing by an authorized representative of CMAP.
- f. For its convenience, CMAP reserves the right to extend the Term of this agreement. Any changes to the Term of this Agreement shall not be binding until specifically confirmed in writing by authorized representatives of both parties.

2. **Chicago Metropolitan Agency for Planning Designee.** Only the Executive Director of CMAP, or designee, shall have the authority to act for and exercise any of the rights of CMAP as set forth in this Agreement, subsequent to and in accordance with the authority granted by CMAP's Board of Directors.

3. **Compliance/Governing Law.** The terms of this Agreement shall be construed in accordance with the laws of the State of Illinois. Any obligations and services performed under this Agreement shall be performed in compliance with all applicable state and federal laws.

4. **Availability of Appropriation (30 ILCS 500/20-60).** This Agreement is contingent upon and subject to the availability of funds. CMAP, at its sole option, may terminate or suspend this

Agreement, in whole or in part, without penalty or further payment being required, if the Illinois General Assembly, the state funding source, or the federal funding source fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason the GOVERNMENTAL BODY will be notified in writing of the failure of appropriation or of a reduction or decrease.

5. **Allowable Charges.** No expenditures or charges shall be included in the cost of the Project and no part of the money paid to the GOVERNMENTAL BODY shall be used by the GOVERNMENTAL BODY for expenditures or charges that are: (i) contrary to provisions of this Agreement or the latest budget approved by a duly-authorized official of CMAP; (ii) not directly for carrying out the Project; (iii) of a regular and continuing nature, except that of salaries and wages of appointed principal executives of the GOVERNMENTAL BODY who have not been appointed specifically for the purposes of directing the Project, who devote official time directly to the Project under specific assignments, and respecting whom adequate records of the time devoted to and services performed for the Project are maintained by the GOVERNMENTAL BODY may be considered as proper costs of the Project to the extent of the time thus devoted and recorded if they are otherwise in accordance with the provisions hereof; or (iv) incurred without the consent of CMAP after written notice of the suspension or termination of any or all of CMAP's obligations under this Agreement.
6. **Audits.** The records and supportive documentation for all completed projects are subject to an on-site audit by CMAP. CMAP reserves the right to inspect and review, during normal working hours, the work papers of the independent auditor in support of their audit report.
7. **Access to Records.** The GOVERNMENTAL BODY shall maintain, for a minimum of **three (3) years** after the completion of the Agreement, adequate books, records and supporting documents related to the Agreement which shall be made available for review upon request. Failure to maintain the books, records and supporting documents required by this Section shall establish a presumption in favor of CMAP for the recovery of any funds paid by CMAP under the Agreement for which adequate books, records and supporting documentation are not available to support their purported disbursement. In addition:
  - (1) If any litigation, claim or audit is started before the expiration of three-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.
  - (2) Records for nonexpendable property acquired with federal funds shall be retained for three (3) years after its final disposition.

The GOVERNMENTAL BODY shall include in all subcontracts, if any, under this Agreement a provision that CMAP will have full access to and the right to examine any pertinent books, documents, papers, and records of any such subcontractors involving transactions related to the subcontract for three (3) years from the final payment under that subcontract except that:

- (1) If any litigation, claim or audit is started before the expiration of the three-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.
- (3) Records for nonexpendable property acquired with federal funds shall be retained for three (3) years after its final disposition.

The term "subcontract" as used in this clause excludes purchase orders not exceeding \$2,500.

8. **Cost Category Transfer Request.** Unless prohibited from doing so in 2 CFR 200.308, transfers between approved line items may be made without CMAP's approval only if the total amount transferred does not exceed the allowable variance of the greater of either (i) ten percent (10%) of the Budget line item or (ii) one thousand dollars (\$1,000) of the Budget line item. Discretionary line item transfers may not result in an increase to the Budget.

Notification to CMAP is required for transfers among appropriated cost categories which exceed 10% or \$1,000 of the line item. No transfer of funds can exceed the total Agreement. The GOVERNMENTAL BODY must submit a written report (form provided by CMAP) to CMAP detailing the amount of transfer, the cost categories from and to which the transfer is to be made, and rationale for the transfer.

9. **Procurement Procedures.** All procurement transactions for Contractual Services, Commodities and Equipment shall be conducted in a manner that provides maximum open and free competition. The GOVERNMENTAL BODY shall also meet the following minimum procedural requirements.
  - a. Subcontracting: Subcontracting, assignment or transfer of all or part of the interests of the GOVERNMENTAL BODY concerning any of the obligations covered by this Agreement is prohibited without prior written consent of CMAP.
  - b. Procurement of Goods or Services: For purchases of products or services with any Agreement funds that cost more than \$3,000 but less \$10,000, the GOVERNMENTAL BODY shall obtain price or rate quotations from an adequate number (at least three) of qualified sources. Procurement of products or services with any Agreement funds that are \$25,000 or greater will require the GOVERNMENTAL BODY to use the Invitation for Bid process or the Request for Proposal process. In the absence of formal codified procedures of the GOVERNMENTAL BODY, the procedures of CMAP will be used, provided that the procurement procedures conform to the provisions in Part 3 (K) below. The GOVERNMENTAL BODY may only procure products or services from one source with any Agreement funds if: (1) the products or services are available only from a single source; or (2) CMAP authorizes such a procedure; or (3) after solicitation of a number of sources, competition is determined inadequate.
  - c. Records: The GOVERNMENTAL BODY shall maintain records sufficient to detail the significant history of procurements. These records shall include, but are not necessarily limited to: information pertinent to rationale for the method of procurement, selection of contract type, contractor selection or rejection, and basis for the cost or price.
  - d. No GOVERNMENTAL BODY employee shall participate in the procurement of products or services if a conflict of interest, real or apparent, would be involved. No employee shall solicit or accept anything of monetary value from bidders or suppliers.
  - e. GOVERNMENTAL BODY certifies that to the best of its knowledge, its sub-grantees have complied with and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits contractors and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities to the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.
10. **Equipment Inventory.** An inventory of non-expendable personal property having a useful life of more than two years and an acquisition cost of \$500 or more is subject to periodic inspection by CMAP.
11. **Method of Payment.** Project expenditures are paid directly from federal and/or state funds. Because CMAP is responsible for obtaining federal reimbursement for project expenditures, it is necessary that CMAP monitor all procedures and documents which will be used to claim and support project-related expenditures. The following procedures should be observed to secure payment:
  - a) Based on services performed, GOVERNMENTAL BODY may submit invoices as frequently as once a month, but is required to submit invoices no later than fifteen (15) days after the end of each quarter. Failure to submit such payment request timely will render the amounts billed an unallowable cost for which the GOVERNMENTAL BODY cannot be reimbursed. CMAP is

committed to reducing paper use and has established an electronic invoicing system. All invoices are to be submitted through email to:

[accounting@cmapp.illinois.gov](mailto:accounting@cmapp.illinois.gov) AND  
[dolson@cmapp.illinois.gov](mailto:dolson@cmapp.illinois.gov)

- b) Each invoice and report submitted must contain: the contract number, a unique vendor invoice number, a description of the services performed, the hourly rates and number of hours worked for each staff member, any subcontractor invoices that directly relate to the work performed during the invoice period, an itemization of travel and other costs which are chargeable to the contract and the following certification by an official authorized to legally bind the GOVERNMENTAL BODY:

By signing this payment request, I certify that to the best of my knowledge and belief that the payment request is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of this contract. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

- c) Subject to the conditions of this Agreement, CMAP will honor invoices in amounts deemed by it to be proper to insure the carrying out of the approved scope of services and shall be obligated to pay the GOVERNMENTAL BODY such amounts as may be approved by CMAP. Invoices shall detail expenses and amount of time spent on CMAP assignments. If an invoice is not acceptable, CMAP shall promptly provide the Contractor a written statement regarding its ineligibility or deficiencies to be eliminated prior to its acceptance and processing. All invoices for services performed and expenses incurred by the GOVERNMENTAL BODY for the services of this Agreement must be presented to CMAP no later than fifteen (15) days after the end of this Agreement. Notwithstanding any other provision of this Agreement, CMAP shall not be obligated to make payment to the GOVERNMENTAL BODY on invoices presented after said date. No payments will be made for services performed prior to the effective date of this Agreement. All payments must be transferred electronically to GOVERNMENTAL BODY'S business bank account. The GOVERNMENTAL BODY will be requested to provide transfer numbers for the business bank account when the contract is finalized, in addition to a copy of its IRS W-9 (Request for Taxpayer Identification Number and Certification).

12. **Suspension.** If the GOVERNMENTAL BODY fails to comply with the special conditions and/or the general terms and conditions of this Agreement, CMAP may, after written notice to the GOVERNMENTAL BODY, suspend the Agreement and withhold further payments or prohibit the GOVERNMENTAL BODY from incurring additional obligations of funds pending corrective action by the GOVERNMENTAL BODY. If corrective action has not been completed within sixty (60) calendar days after service of written notice of suspension, CMAP shall notify the GOVERNMENTAL BODY in writing that the Agreement has been terminated by reason of default in accordance with paragraph 12 hereof. CMAP may determine to allow such necessary and proper costs which the GOVERNMENTAL BODY could not reasonably avoid during the period of suspension provided such costs meet the provisions of the U.S. Office Management and Budget 2 CFR 200 in effect on the date first above written.

13. **Termination.**

- a. This Agreement may be terminated in whole or in part in writing by either party in the event of substantial failure (hereinafter termed "Termination by Default") by the other party to fulfill its obligations under this Agreement through no fault of the terminating party, provided that no such termination may be affected unless the other party is given (i) not less than seven (7) calendar days written notice (delivered by certified mail, return receipt requested) of intent to Termination by Default, and (ii) an opportunity for consultation with the terminating party prior to Termination by Default.
- b. This Agreement may be terminated in whole or in part in writing by CMAP for its convenience (hereinafter termed "Termination for Convenience"), provided that

GOVERNMENTAL BODY is given not less than seven (7) calendar days written notice (delivered by certified mail, return receipt requested) of intent to terminate.

- c. If Termination by Default is effected by CMAP, an equitable adjustment in the price provided for in this Agreement shall be made, but (i) no amount shall be allowed for anticipated profit on unperformed services or other work, and (ii) any payment due to GOVERNMENTAL BODY at the time of termination may be adjusted to the extent of any additional costs occasioned to CMAP by reason of GOVERNMENTAL BODY'S default. If Termination by Default is effected by GOVERNMENTAL BODY, or if Termination for Convenience is effected by CMAP, the equitable adjustment shall include a reasonable profit for services or other work performed. The equitable adjustment for any termination shall provide payment to GOVERNMENTAL BODY for services rendered and expenses incurred prior to termination, in addition CMAP may include cost reasonably incurred by GOVERNMENTAL BODY relating to commitments which had become firm prior to termination.
  - d. Upon notice of termination action pursuant to paragraphs (a) or (b) of this clause, GOVERNMENTAL BODY shall (i) promptly discontinue all services affected (unless the notice directs otherwise) and (ii) deliver or otherwise make available to CMAP all data, drawings, specifications, reports, estimates, summaries and such other information and materials as may have been accumulated by GOVERNMENTAL BODY in performing this Agreement, whether completed or in process.
  - e. Upon termination pursuant to paragraphs (a) or (b) of this clause, CMAP may take over the work and prosecute the same to completion by agreement with another party otherwise.
  - f. In the event GOVERNMENTAL BODY must terminate this Agreement due to circumstances beyond its control, the termination shall be deemed to have been effected for the convenience of CMAP. In such event, adjustment of the price provided for in this Agreement shall be made as provided in paragraph c of this clause.
14. **Location of Services.** Services to be performed by the GOVERNMENTAL BODY shall be performed as described in Part 4.
15. **Allocation of Agreement Funds.** The GOVERNMENTAL BODY may spend only those funds which will be reimbursed by both CMAP and the Federal government. This Agreement authorizes the GOVERNMENTAL BODY to spend no more than the limit of compensation as identified in Part 5 Compensation for Services in this Agreement. The GOVERNMENTAL BODY is required to provide the local match as outlined in Part 5 Compensation for Services.
16. **Reporting/Consultation.** The GOVERNMENTAL BODY shall consult with and keep CMAP fully informed as to the progress of all matters covered by this Agreement.
17. **Remedies.** Except as may be otherwise provided in this Agreement, all claims, counterclaims, disputes and other matters in question between CMAP and the GOVERNMENTAL BODY arising out of or relating to this Agreement or the breach thereof will be decided by arbitration. If the parties hereto mutually agree, a request for remedy may be sought from a court of competent jurisdiction within the State of Illinois, County of Cook.
18. **Equal Employment Opportunity.** The GOVERNMENTAL BODY will comply with Executive Order 11246 entitled "Equal Employment Opportunity," as amended by U.S. Department of Labor regulations (41 CFR Part 60). In connection with the execution of this Agreement, the GOVERNMENTAL BODY shall not discriminate against any employee or an applicant for employment because of race, religion, color, sex, national origin, ancestry, or physical or mental handicap unrelated to ability. The GOVERNMENTAL BODY shall take affirmative actions to insure that applicants are employed and that employees are treated during their employment without regard to their race, religion, color, sex, national origin, ancestry, or physical or mental handicap unrelated to ability. Such actions shall include, but not be limited to, employment, promotion, demotion, transfer, recruitment, recruitment advertising, layoff, termination, rates of pay, other forms of compensation, and selection for training or apprenticeship. The

GOVERNMENTAL BODY shall cause the provisions of this paragraph to be inserted into all subcontractors work covered by this Agreement so that such provisions will be binding upon each subcontractor, provided that such provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

19. **Small and Minority Business Enterprise.** In connection with the performance of this Agreement the GOVERNMENTAL BODY will cooperate with CMAP in meeting its commitments and goals with respect to the maximum utilization of small business and minority business enterprises, and will use its best efforts to insure that small business and minority business enterprises shall have the maximum practicable opportunity to compete for subcontract work under this Agreement.

20. **Political Activity.** No portion of funds for this Agreement shall be used for any partisan political activity or to further the election or defeat of any candidate for public office.

21. **Prohibited Interest.**

a. No officer or employee of CMAP and no member of its governing body and no other public official of any locality in which the Project objectives will be carried out who exercises any functions or responsibilities in the review or approval of the undertaking or carrying out of such objectives shall (i) participate in any decision relating to any subcontract negotiated under this Agreement which affects his personal interest or the interest of any corporation, partnership or association in which he is, directly or indirectly, interested; or (ii) have any financial interest, direct or indirect, in such subcontract or in the work to be performed under such contract.

b. No member of or delegate of the Illinois General Assembly or the Congress of the United States of America, and no federal Resident Commissioner, shall be admitted to any share hereof or to any benefit arising herefrom.

c. The GOVERNMENTAL BODY warrants and represents that no person or selling agency has been employed or retained to solicit or secure this Agreement, upon an agreement or understanding for a commission, percentage, bonus, brokerage or contingent fee, or gratuity, excepting its bona fide employees. For breach or violation of this warranty CMAP shall have the right to annul this Agreement without liability or, at its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such commission, percentage bonus, brokerage or contingent fee, or gratuity.

22. **Patents and Copyright Responsibility.**

a. The GOVERNMENTAL BODY agrees that any material or design specified by the GOVERNMENTAL BODY or supplied by the GOVERNMENTAL BODY pursuant to this Agreement shall not infringe any patent or copyright and the GOVERNMENTAL BODY shall be solely responsible for securing any necessary licenses required for patented or copyrighted material used by the GOVERNMENTAL BODY.

b. If any claim is brought against CMAP by third parties for alleged infringement of third-party patent and copyright and intellectual rights, which claim is caused by breach of the GOVERNMENTAL BODY'S promise as contained in paragraph a of this clause, the GOVERNMENTAL BODY shall save harmless and indemnify CMAP from all loss, damage or expense (including attorney's fees) due to defending CMAP from such claim.

c. If the principal purpose of this Agreement is to create, develop or improve products, processes or methods; or to explore into fields which directly concern public health, safety or welfare, or if the Project is in a field of science or technology in which there has been little significant experience outside of work funded by federal assistance; and any discovery or invention arises or is developed in the course of or under this Agreement, such invention or discovery shall be subject to the reporting and rights provisions of U.S. Office of Management and Budget Circular No. A-102 or to U.S. Office of Management and Budget Circular No. A-21, and to the pertinent regulations of the grantor agency(ies) in effect on the date of execution of this Agreement. The GOVERNMENTAL BODY shall include provisions appropriate to effectuate the purpose of this condition in all subcontracts under this Agreement involving research, developmental, experimental or demonstration work.

23. **Conflict of Interest.** In order to avoid any potential conflict of interest, the GOVERNMENTAL BODY agrees during the term of this Agreement not to undertake any activities which could conflict directly or indirectly with the interest of CMAP. The GOVERNMENTAL BODY shall immediately advise CMAP of any such conflict of interest. CMAP shall make the ultimate determination as to whether a conflict of interest exists.
24. **Ownership of Documents/Title of Work.** All documents, data and records produced by the GOVERNMENTAL BODY in carrying out the GOVERNMENTAL BODY'S obligations and services hereunder, without limitation and whether preliminary or final, shall become and remains the property of CMAP and the GOVERNMENTAL BODY. CMAP and the GOVERNMENTAL BODY shall have the right to use all such documents, data and records without restriction or limitation and without additional compensation to the GOVERNMENTAL BODY. All documents, data and records utilized in performing research shall be available for examination by CMAP upon request. Upon completion of the services hereunder or at the termination of this Agreement, all such documents, data and records shall, at the option of CMAP, be appropriately arranged, indexed and delivered to CMAP by the GOVERNMENTAL BODY.
25. **Software.** All software, related computer programs, and source code produced and developed by the GOVERNMENTAL BODY (or authorized contractor or subcontractor thereof) in carrying out the GOVERNMENTAL BODY'S obligation hereunder, without limitation and whether preliminary or final, shall become and remain the property of both CMAP and the GOVERNMENTAL BODY. CMAP and the GOVERNMENTAL BODY shall be free to sell, give, offer or otherwise provide said software and related computer programs to any other agency, department, commission, or board of the State of Illinois, as well as any other agency, department, commission, board, or other governmental entity of any country, state, county, municipality, or any other unit of local government or to any entity consisting of representative of any unit of government, for official use by said entity. Additionally, CMAP and the GOVERNMENTAL BODY shall be free to offer or otherwise provide said software and related computer programs to any current or future contractor.
- CMAP agrees that any entity to whom the software and related computer programs will be given, sold or otherwise offered shall be granted only a use license, limited to use for official or authorized purposes, and said entity shall otherwise be prohibited from selling, giving or otherwise offering said software and related computer programs without the written consent of both CMAP and the GOVERNMENTAL BODY.
26. **Publication.** CMAP and the GOVERNMENTAL BODY shall have royalty-free, nonexclusive and irrevocable license to reproduce, publish, disclose, distribute, and otherwise use, in whole or in part, any reports, data or other materials specifically prepared under this Agreement, and to authorize other material to do so. The GOVERNMENTAL BODY shall include provisions appropriate to effectuate the purpose of this clause in all subcontracts for work under this Agreement.
27. **Confidentiality Clause.** Any documents, data, records, or other information given to or prepared by the GOVERNMENTAL BODY pursuant to this Agreement shall not be made available to any individual or organization without prior written approval by CMAP. All information secured by the GOVERNMENTAL BODY from CMAP in connection with the performance of services pursuant to this Agreement shall be kept confidential unless disclosure of such information is approved in writing by CMAP.
28. **Reporting/Consultation.** The GOVERNMENTAL BODY shall consult with and keep CMAP fully informed as to the progress of all matters covered by this Agreement.
29. **Identification of Documents.** All reports, maps, and other documents completed as part of this Agreement, other than documents exclusively for internal use within the GOVERNMENTAL BODY'S offices, shall carry the following notation on the front cover or a title page or, in the case of maps, in the same area which contains the name of CMAP and of the GOVERNMENTAL BODY. "This material was prepared in consultation with CMAP, the Chicago Metropolitan Agency for Planning, (<http://www.cmap.illinois.gov>)."

30. **Force Majeure.** Either party shall be excused from performing its obligations under this Agreement during the time and to the extent that it is prevented from performing by a cause beyond its control including, but not limited to: any incidence of fire, flood; acts of God; commandeering of material, products, plants or facilities by the Federal, state or local government; national fuel shortage; or a material act of omission by the other party; when satisfactory evidence of such cause is presented to the other party, and provided further that such nonperformance is unforeseeable, beyond the control and is not due to the fault or negligence of the party not performing.
31. **Workers' Compensation Insurance.** The GOVERNMENTAL BODY and any subcontractors shall, at their own expense, obtain and maintain Workers' Compensation insurance to cover persons employed in connection with services under this agreement. The limits for the Worker's Compensation coverage shall be no less than the statutory limits required by the State of Illinois. A certificate of insurance must be included with this contract.
32. **Independent Contractors.** The GOVERNMENTAL BODY'S relationship to CMAP in the performance of this Agreement is that of an independent contractor. The GOVERNMENTAL BODY'S personnel performing work under this Agreement shall at all times be under the GOVERNMENTAL BODY'S exclusive direction and control and shall be employees of GOVERNMENTAL BODY and not employees of CMAP. The GOVERNMENTAL BODY shall pay all wages, salaries and other amounts due its employees in connection with this Agreement and shall be responsible for all reports and obligations respecting them, including, but not limited to, social security, income tax withholding, unemployment compensation, workers' compensation insurance and similar matters
33. **Federal, State and Local Laws.** The GOVERNMENTAL BODY warrants that in the performance of this Agreement it shall comply with all applicable federal, state and local laws, statutes and ordinances and all lawful orders, rules and regulations promulgated thereunder. Since laws, regulations, directives, etc. may be modified from time-to-time, the GOVERNMENTAL BODY shall be responsible for compliance as modifications are implemented. The GOVERNMENTAL BODY'S failure to comply shall constitute a material breach of this contract.
34. **Hold Harmless and Indemnity.** The GOVERNMENTAL BODY shall indemnify, defend and hold harmless CMAP, its officers, directors, employees and agents from and against any and all claims (including attorney's fees and reasonable expenses for litigation or settlement) for any loss, or damages, bodily injuries, including death, damage to or loss of use of property caused by the negligent acts, omissions or willful misconduct of the GOVERNMENTAL BODY, its officers, directors, employees, agents, subcontractors or suppliers, in connection with or arising out of the performance of this Agreement.
35. **Equal Employment Opportunities -- Affirmative Action Sexual Harassment.** The GOVERNMENTAL BODY must comply with the Illinois Board of Human Rights Act and rules applicable to public funds, including equal employment opportunity, refraining from unlawful discrimination, and having written sexual harassment policies (775 ILCS 5/2-105).
36. **International Boycott.** The GOVERNMENTAL BODY certifies that neither the GOVERNMENTAL BODY nor any substantially owned affiliate is participating or shall participate in an international boycott in violation of the U.S. Export Administration Act of 1979 or the applicable regulations of the U.S. Department of Commerce. This applies to contracts that exceed \$10,000 (30 ILCS 582).
37. **Forced Labor.** The GOVERNMENTAL BODY certifies it complies with the State Prohibition of Goods from forced Labor Act, and certifies that no foreign-made equipment, materials, or supplies furnished to CMAP under this Agreement have been or will be produced in whole or in part by forced labor, or indentured labor under penal sanction (30 ILCS 583).
38. **Assignment.**
  - a. This Agreement shall be binding upon, and inure to the benefit of, the respective successors, assigns, heirs, and personal representatives of CMAP and the GOVERNMENTAL BODY. Any successor to the GOVERNMENTAL BODY'S rights under this Agreement must be approved by CMAP unless the transaction is specifically authorized under federal law. Any



successor will be required to accede to all the terms, conditions and requirements of the Agreement as a condition precedent to such succession.

- a. The GOVERNMENTAL BODY shall not assign any interest in this Agreement and shall not transfer any interest in the same (whether by assignment or novation), without the prior written consent of CMAP hereto, provided, however, that claims for money due or to become due to the GOVERNMENTAL BODY from CMAP under this Agreement may be assigned to a bank, trust company or other financial institution without such approval. Notice of any such assignment or transfer shall be furnished to CMAP.

### 39. Subcontracts.

- a. Any subcontractors or outside associates or contractors required by the GOVERNMENTAL BODY in connection with the services covered by this Agreement will be subject to the approval of CMAP. Any substitutions in or additions to such subcontractors, associates or contractors will be subject to the prior approval of CMAP.
- b. All subcontracts for work under this Agreement shall contain those applicable provisions which are required in this Agreement.
- c. The Contractor may not subcontract services agreed to under this Agreement without prior written approval of CMAP.

## Part 3: Federal Conditions of Approval

- 1) Standard Assurances. The GOVERNMENTAL BODY assures that it will comply with all applicable federal statutes, regulations, executive orders, Federal Transit Administration (FTA) circulars, and other federal requirements in carrying out any project supported by federal funds. The GOVERNMENTAL BODY recognizes that federal laws, regulations, policies, and administrative practices may be modified from time to time and those modifications may affect project implementation. All contracts, whether funded in whole or in part with either Federal or State funds, are subject to Federal requirements and regulations, including but not limited to 2 CFR Part 200, 44 III. Admin. Code 7000.30(b) and the Financial Management Standards in Paragraph 7.9.
- 2) Lobbying.
  - d. Improper Influence. Grantee certifies that no Grant Funds have been paid or will be paid by or on behalf of Grantee to any person for influencing or attempting to influence an officer or employee of any government agency, a member of Congress or Illinois General Assembly, an officer or employee of Congress or Illinois General Assembly, or an employee of a member of Congress or Illinois General Assembly in connection with the awarding of any agreement, the making of any grant, the making of any loan, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment or modification of any agreement, grant, loan or cooperative agreement. 31 USC 1352. Additionally, Grantee certifies that it has filed the required certification under the Byrd Anti-Lobbying Amendment (31 USC 1352), if applicable.
  - e. Federal Form LLL. If any funds, other than Federally-appropriated funds, were paid or will be paid to any person for influencing or attempting to influence any of the above persons in connection with this Agreement, the undersigned must also complete and submit Federal Form LLL, Disclosure of Lobbying Activities Form, in accordance with its instructions.

- f. Lobbying Costs. Grantee certifies that it is in compliance with the restrictions on lobbying set forth in 2 CFR Part 200.450. For any Indirect Costs associated with this Agreement, total lobbying costs shall be separately identified in the Program Budget, and thereafter treated as other Unallowable Costs.
  - g. Procurement Lobbying. Grantee warrants and certifies that it and, to the best of its knowledge, its sub-grantees have complied and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits Grantees and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.
  - h. Subawards. Grantee must include the language of this ARTICLE XI in the award documents for any subawards made pursuant to this Award at all tiers. All sub-awardees are also subject to certification and disclosure. Pursuant to Appendix II(I) to 2 CFR Part 200, Grantee shall forward all disclosures by GOVERNMENTAL BODYs regarding this certification to Grantor.
  - i. Certification. This certification is a material representation of fact upon which reliance was placed to enter into this transaction and is a prerequisite for this transaction, pursuant to 31 USC 1352. Any person who fails to file the required certifications shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.
- 3) Unlawful Discrimination. Compliance with Nondiscrimination Laws. Both Parties, their employees and subcontractors under subcontract made pursuant to this Agreement, remain compliant with all applicable provisions of State and Federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to, the following laws and regulations and all subsequent amendments thereto:
- a. The Illinois Human Rights Act (775 ILCS 5/1-101 *et seq.*), including, without limitation, 44 Ill. Admin. Code Part 750, which is incorporated herein;
  - b. The Public Works Employment Discrimination Act (775 ILCS 10/1 *et seq.*);
  - c. The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a- and 2000h-6). (See *also* guidelines to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons [Federal Register: February 18, 2002 (Volume 67, Number 13, Pages 2671-2685)]);
  - d. Section 504 of the Rehabilitation Act of 1973 (29 USC 794);
  - e. The Americans with Disabilities Act of 1990 (42 USC 12101 *et seq.*); and
  - f. The Age Discrimination Act (42 USC 6101 *et seq.*).
- 4) Control of Property. The GOVERNMENTAL BODY certifies that the control, utilization and disposition of property or equipment acquired using federal funds is maintained according to the provisions of 2 CFR Part 200, Subpart D, Property Standards.
- 5) Cost Principles The GOVERNMENTAL BODY certifies that the cost principles and indirect cost proposals of this Agreement are consistent with 2 CFR Part 200, Subpart E, and Appendix VII to Part 200, and all costs included in this Agreement are allowable under 2 CFR Part 200, Subpart E.
- 6) Debarment. The GOVERNMENTAL BODY certifies that it is not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any Federal department or agency (45 CFR Part 76), or by the State (See 30 ILCS 708/25(6)(G)).

- 7) Audit Requirements. The GOVERNMENTAL BODY shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 USC 7501-7507) and Subpart F of 2 CFR Part 200, and the audit rules set forth by the Governor's Office of Management and Budget. See 30 ILCS 708/65(c).
- a. Audit required. A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year.
  - b. Single audit. If A non-Federal entity expends \$750,000 or more in Federal Awards (direct federal and federal pass-through awards combined) during its fiscal year, it must have a single audit or program-specific audit conducted for that year as required in 2 CFR 200.501 and other applicable sections of Subpart F. The audit and reporting package (including data collection form) must be completed as described in 2 CFR 200.512 (single audit) or 2 CFR 200.507 (Program-specific audit). The audit (and package) must be submitted to Grantor either within (i) 30 calendar days after receipt of the auditor's report(s) or (ii) nine months after the end of the audit period, whichever is earlier.
  - c. Financial Statement Audit. A non-Federal entity that expends less than \$750,000 in Federal Awards during its fiscal year and is not subject to the audit requirements in 15.2, but receives between \$300,000 and \$499,999 in Federal and State Awards combined, Grantee must have a financial statement audit conducted in accordance with Generally Accepted Auditing Standards(GAAS); if Grantee expends between \$500,000 and \$749,999 in Federal and State awards combined, Grantee must have a financial statement audit conducted in accordance with Generally Accepted Government Auditing Standards (GAGAS). Grantee shall submit these financial statement audit reports to Grantor either within (i) 30 calendar days after receipt of the auditor's report(s) or (ii) 180 calendar days after the end of the audit period, whichever is earlier.
  - d. Performance Audits. For those organizations required to submit an independent audit report, the audit is to be conducted by the Illinois Auditor General, or a Certified Public Accountant or Certified Public Accounting Firm licensed in the State of Illinois. For audits required to be performed subject to Generally Accepted Government Auditing Standards, Grantee shall request and maintain on file a copy of the auditor's most recent peer review report and acceptance letter.
- 8) Drug Free Workplace. If Grantee is not an individual, Grantee certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. 30 ILCS 580/3. If Grantee is an individual and this Agreement is valued at more than \$5,000, Grantee certifies it shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance during the performance of the Agreement. 30 ILCS 580/4. Grantee further certifies that it is in compliance with the government-wide requirements for a drug-free workplace as set forth in 41 USC 8102.
- 9) Disadvantaged Business Enterprise Assurance. In accordance with 49 CFR 26.13(a), as amended, the GOVERNMENTAL BODY assures that it shall not discriminate on the basis of race, color, national origin, or sex in the implementation of the project and in the award and performance of any third party contract, or subagreement supported with Federal assistance derived from the U.S. DOT or in the administration of its Disadvantaged Business Enterprise (DBE) program or the requirements of 49 CFR Part 26, as amended. The GOVERNMENTAL BODY assures that it shall take all necessary and reasonable steps set forth in 49 CFR Part 26, as amended, to ensure nondiscrimination in the award and administration of all third party contracts and subagreements

supported with Federal assistance derived from the U.S. DOT. CMAP's DBE program, as required by 49 CFR Part 26, as amended, will be incorporated by reference and made a part of this Agreement for any Federal assistance awarded by FTA or U.S. DOT. Implementation of this DBE program is a legal obligation of the GOVERNMENTAL BODY, and failure to carry out its terms shall be treated as a violation of the Agreement. Upon notification by the Federal Government or CMAP to the GOVERNMENTAL BODY of its failure to implement its approved DBE program, the U.S. DOT may impose sanctions as provided for under 49 CFR Part 26, as amended, and may in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001, as amended, and/or the Program Fraud Remedies Act, 31 U.S.C. 3801 *et seq.*, as amended.

- 10) Intelligent Transportation Systems Program. As used in this assurance, the term Intelligent Transportation Systems (ITS) project is defined to include any project that in whole or in part finances the acquisition of technologies or systems of technologies that provide or significantly contribute to the provision of one or more ITS user services as defined in the "National ITS Architecture."
  - a. In accordance with 23 U.S.C. 517(d), as amended by the Moving Ahead for Progress in the 21st Century Act (MAP-21), the GOVERNMENTAL BODY assures it will comply with all applicable requirements of Section V (Regional ITS Architecture and Section VI (Project Implementation)) of FTA Notice, "FTA National ITS Architecture Policy on Transit Projects," at 66 *Fed. Reg.* 1455 *et seq.*, January 8, 2001, and other FTA requirements that may be issued in connection with any ITS project it undertakes financed with Highway Trust Funds (including funds from the mass transit account) or funds made available for the Intelligent Transportation Systems Program.
  - b. With respect to any ITS project financed with Federal assistance derived from a source other than Highway Trust Funds (including funds from the Mass Transit Account) or 23 U.S.C. 517(d), the GOVERNMENTAL BODY assures that it will use its best efforts to ensure that any ITS project it undertakes will not preclude interface with other intelligent transportation systems in the Region.
- 11) Davis-Bacon Act. To the extent applicable, the GOVERNMENTAL BODY will comply with the Davis-Bacon Act, as amended, 40 U.S.C. 3141 *et seq.*, the Copeland "Anti-Kickback" Act, as amended, 18 U.S.C. 874, and the Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. 3701 *et seq.*, regarding labor standards for federally assisted subagreements.
- 12) Certifications and Assurances Required by the U.S. Office of Management and Budget (OMB) (SF-424B and SF-424D).

As required by OMB, the GOVERNMENTAL BODY certifies that it:

1. Has the legal authority and the institutional, managerial, and financial capability to ensure proper planning, management, and completion of the project.
2. Will give the U.S. Secretary of Transportation, the Comptroller General of the United States, and, if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives;
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain;
4. Will initiate and complete the work within the applicable project time periods;
5. Will comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:
  - i. Title VI of the Civil Rights Act, 42 U.S.C. 2000d, which prohibits discrimination on the basis of race, color, or national origin;
  - ii. Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. 1681 through 1683, and 1685 through 1687, and U.S. DOT regulations, "Nondiscrimination on the Basis of Sex

- in Education Programs or Activities Receiving Federal Financial Assistance," 49 CFR Part 25, which prohibit discrimination on the basis of sex;
- iii. Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 794, which prohibits discrimination on the basis of handicap;
  - iv. The Age Discrimination Act of 1975, as amended, 42 U.S.C. 6101 through 6107, which prohibits discrimination on the basis of age;
  - v. The Drug Abuse, Prevention, Treatment and Rehabilitation Act, Public Law 92-255, and amendments thereto, 21 U.S.C. 1101 *et seq.* relating to nondiscrimination on the basis of drug abuse;
  - vi. The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Public Law 91-616, and amendments thereto, 42 U.S.C. 4541 *et seq.* relating to nondiscrimination on the basis of alcohol abuse or alcoholism;
  - vii. The Public Health Service Act of 1912, as amended, 42 U.S.C. 290dd-2 related to confidentiality of alcohol and drug abuse patient records;
  - viii. Title VIII of the Civil Rights Act, 42 U.S.C. 3601 *et seq.*, relating to nondiscrimination in the sale, rental, or financing of housing;
  - ix. Any other nondiscrimination provisions in the specific statutes under which Federal assistance for the project may be provided including, but not limited to, 49 U.S.C. 5332, which prohibits discrimination on the basis of race, color, creed, national origin, sex, or age, and prohibits discrimination in employment or business opportunity, and Section 1101(b) of the Transportation Equity Act for the 21st Century, 23 U.S.C. 101 note, which provides for participation of disadvantaged business enterprises in FTA programs; and
6. Any other nondiscrimination statute(s) that may apply to the project.
    - i. The prohibitions against discrimination on the basis of disability, as provided in the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. 12101 *et seq.*
  7. Will comply with all federal environmental standards applicable to the project, including but not limited to:
    - i. Institution of environmental quality control measures under the National Environmental Policy Act of 1969 and Executive Order 11514;
    - ii. Notification of violating facilities pursuant to Executive Order 11738;
    - iii. Protection of wetlands pursuant to Executive Order 11990;
    - iv. Evaluation of flood hazards in floodplains in accordance with Executive Order 11988;
    - v. Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972, 16 U.S.C. 1451 *et seq.*;
    - vi. Conformity of federal Actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended, 42 U.S.C. 7401 *et seq.*;
    - vii. Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended;
    - viii. Protection of endangered species under the Endangered Species Act of 1973, as amended;
    - ix. GOVERNMENTAL BODY will comply with the environmental protections for Federal transportation programs, including, but not limited to, protections for parks, recreation areas, or wildlife or waterfowl refuges of national, State, or local significance or any land from a historic site of national, State, or local significance to be used in a transportation Project, as required by 49 U.S.C. 303 (also known as "Section 4f");
    - x. The Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271 *et seq.*, which relates to protecting components or potential components of the national wild scenic rivers system; and Environmental impact and related procedures pursuant to 23 C.F.R. Part 771.
- 13) Will comply with all other federal statutes applicable to the project, including but not limited to:
- a. As provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Relocation Act), 42 U.S.C. 4601 *et seq.*, and 49 U.S.C. 5323(b), regardless of whether Federal funding has been provided for any of the real property acquired for Project purposes, GOVERNMENTAL BODY:
    - i) will provide for fair and equitable treatment of any displaced persons, or any persons whose property is acquired as a result of federally-funded programs,
    - ii) has the necessary legal authority under State and local laws and regulations to comply with:
 

The Uniform Relocation Act. 42 U.S.C. 4601 *et seq.*, as specified by 42 U.S.C. 4630 and 4655, and U.S. DOT regulations, "Uniform Relocation Assistance and Real Property

Acquisition for Federal and Federally Assisted Programs,” 49 CFR part 24, specifically 49 CFR 24.4, and

- iii) has complied with or will comply with the Uniform Relocation Act and implementing U.S. DOT regulations because:
- iv) will adequately inform each affected person of the benefits, policies, and procedures provided for in 49 CFR part 24,
- v) As required by 42 U.S.C. 4622, 4623, and 4624, and 49 CFR part 24, if an FTA-funded Project results in displacement, it will provide fair and reasonable relocation payments and assistance to:
  - 1. Displaced families or individuals, and
  - 2. Displaced corporations, associations, or partnerships,
- vi) As provided by 42 U.S.C. 4625 and 49 CFR part 24, it will provide relocation assistance programs offering the services described in the U.S. DOT regulations to such:
  - 1. Displaced families and individuals, and
  - 2. Displaced corporations, associations, or partnerships,
- vii) As required by 42 U.S.C. 4625(c)(3), within a reasonable time before displacement, it will make available comparable replacement dwellings to families and individuals,
- viii) GOVERNMENTAL BODY:
  - 1. Carry out the relocation process to provide displaced persons with uniform and consistent services, and
  - 2. Make available replacement housing in the same range of choices with respect to such housing to all displaced persons regardless of race, color, religion, or national origin,
- ix) It will be guided by the real property acquisition policies of 42 U.S.C. 4651 and 4652,
- xi) will pay or reimburse property owners for their necessary expenses as specified in 42 U.S.C. 4653 and 4654, understanding that FTA will provide Federal funding for its eligible costs for providing payments for those expenses, as required by 42 U.S.C. 4631,
- xii) will execute the necessary implementing amendments to FTA-funded third party contracts and subagreements,
- xiii) will execute, furnish, and be bound by such additional documents as FTA may determine necessary to effectuate or implement these assurances,
- xiv) will incorporate these assurances by reference into and make them a part of any third party contract or subagreement, or any amendments thereto, relating to any FTA-funded Project involving relocation or land acquisition, and
- xv) will provide in any affected document that these relocation and land acquisition provisions must supersede any conflicting provisions;
  - (1) The Hatch Act, 5 U.S.C. 1501 – 1508, 7324 – 7326, which limits the political activities of State and local agencies and their officers and employees whose primary employment activities are financed in whole or part with Federal funds, including a Federal Loan, Grant Agreement, or Cooperative Agreement, and
  - (2) 49 U.S.C. 5323(l)(2) and 23 U.S.C. 142(g), which provide an exception from Hatch Act restrictions for a nonsupervisory employee of a public transportation system (or of any other agency or entity performing related functions) receiving FTA funding appropriated or made available for 49 U.S.C. chapter 53 and 23 U.S.C. 142(a)(2) to whom the Hatch Act does not otherwise apply,
- xi. The Flood Disaster Protection Act of 1973, which requires the purchase of flood insurance in certain instances;
- xii. Section 106 of the National Historic Preservation Act of 1966, as amended, 16 U.S.C. 470;
- xiii. Executive Order 11593, which relates to identification and protection of historic properties;
- xiv. The Archaeological and Historic Preservation Act of 1974, 16 U.S.C. 469a-1 et seq.;
- xv. The Laboratory Animal Welfare Act of 1966, as amended, 7 U.S.C. 2131 et seq., which relates to the care, handling, and treatment of warm-blooded animals held for research, teaching, or other activities supported by a federal award of

- assistance;
- xvi. The Lead-Based Paint Poisoning Prevention Act, 42 U.S.C. 4801 *et seq.*, which relates to prohibiting the use of lead-based paint in construction or rehabilitation of residence structures;
  - xvii. The Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations"; and
  - xviii. Use of parks, recreation areas, wildlife and waterfowl refuges, and historic sites pursuant to 23 C.F.R. Part 774 (Section 4(f) requirements); and
    - b. GOVERNMENTAL BODY will, to the extent applicable, comply with the protections for human subjects involved in research, development, and related activities supported by Federal funding of:
      - (1) The National Research Act, as amended, 42 U.S.C. 289 *et seq.*, and
      - (2) U.S. DOT regulations, "Protection of Human Subjects," 49 CFR part 11.
- 14) Energy Conservation. To the extent applicable, the GOVERNMENTAL BODY and its third party Contractors at all tiers shall comply with mandatory standards and policies relating to energy efficiency that are contained in applicable state energy conservation plans issued in compliance with the Energy Policy and Conservation Act, 42 U.S.C. Section 6321 *et seq.*
  - 15) Bribery. The GOVERNMENTAL BODY certifies that it has not been convicted of bribery or attempting to bribe an officer or employee of the State of Illinois, nor made an admission of guilt of such conduct which is a matter of record (30 ILCS 500/50-5).
  - 16) Clean Air and Clean Water Act. The GOVERNMENTAL BODY certifies that it is in compliance with all applicable standards, order or regulations issued pursuant to the Clean Air Act (42 USC §7401 *et seq.*) and the Federal Water Pollution Control Act, as amended (33 USC §1251 *et seq.*).
  - 17) Bid Rigging. The GOVERNMENTAL BODY certifies that it has not been barred from contracting with a unit of State or local government as a result of a violation of Paragraph 33E-3 or 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3 or 720 ILCS 5/33E-4, respectively).
  - 18) Debt to State. Grantee certifies that neither it, nor its affiliate(s), is/are barred from receiving an Award because Grantee, or its affiliate(s), is/are delinquent in the payment of any debt to the State, unless Grantee, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Grantee acknowledges Grantor may declare the Agreement void if the certification is false (30 ILCS 500/50-11).
  - 19) Education Loan. GOVERNMENTAL BODY certifies that it is not barred from receiving State agreements as a result of default on an educational loan (5 ILCS 385/1 *et seq.*).
  - 20) Eligibility For Employment In The United States. The GOVERNMENTAL BODY shall complete and keep on file, as appropriate, Immigration and Naturalization Service Employment Eligibility Forms (I-9). These forms shall be used by the GOVERNMENTAL BODY to verify that persons employed by the GOVERNMENTAL BODY are eligible to work in the United States.
  - 21) Buy America. As set forth in 49 U.S.C 5323(j) and 49 C.F.R. Part 661, only steel, iron and manufactured products produced in the United States may be purchased with Federal funds unless the Secretary of Transportation determines that such domestic purchases would be inconsistent with the public interest; that such materials are not reasonably available and of satisfactory quality; or that inclusion of domestic materials will increase the cost of overall project contract by more than 25 percent. Clear justification for the purchase of non-domestic items must be in the form of a waiver request submitted to and approved by the Secretary of Transportation.
  - 22) Dues and Fees. The GOVERNMENTAL BODY certifies that it is not prohibited from receiving an Award because it pays dues or fees on behalf of its employees or agents, or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1 *et seq.*).

- 22) Pro-Children Act. The CONTACTOR certifies that it is in compliance with the Pro-Children Act of 2001 in that it prohibits smoking in any portion of its facility used for the provision of health, day care, early childhood development services, education or library services to children under the age of eighteen (18), which services are supported by Federal or State government assistance (except such portions of the facilities which are used for inpatient substance abuse treatment) (20 USC 7181-7184).
- 23) Motor Voter Law. The GOVERNMENTAL BODY certifies that it is in full compliance with the terms and provisions of the National Voter Registration Act of 1993 (52 USC 20501 *et seq.*).
- 24) Health Insurance Portability Act. The GOVERNMENTAL BODY certifies that it is in compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law No. 104-191, 45 CFR Parts 160, 162 and 164, and the Social Security Act, 42 USC 1320d-2 through 1320d-7, in that it may not use or disclose protected health information other than as permitted or required by law and agrees to use appropriate safeguards to prevent use or disclosure of the protected health information. Grantee shall maintain, for a minimum of six (6) years, all protected health information.
- 25) Criminal Convictions. The GOVERNMENTAL BODY certifies that neither it nor any managerial agent of Grantee has been convicted of a felony under the Sarbanes-Oxley Act of 2002, nor a Class 3 or Class 2 felony under Illinois Securities Law of 1953, or that at least five (5) years have passed since the date of the conviction. Grantee further certifies that it is not barred from receiving an Award under 30 ILCS 500/50-10.5, and acknowledges that Grantor shall declare the Agreement void if this certification is false (30 ILCS 500/50-10.5).
- 26) Illinois Use Tax. The GOVERNMENTAL BODY certifies in accordance with 30 ILCS 500/50-12 that it is not barred from receiving an Award under this Paragraph. Grantee acknowledges that this Agreement may be declared void if this certification is false.
- 27) Environmental Protection Act Violations. The GOVERNMENTAL BODY certifies in accordance with 30 ILCS 500/50-14 that it is not barred from receiving an Award under this Paragraph. Grantee acknowledges that this Agreement may be declared void if this certification is false.
- 28) Goods From Child Labor Act. The GOVERNMENTAL BODY certifies that no foreign-made equipment, materials, or supplies furnished to the State under this Agreement have been produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).
- 29) Federal Funding Accountability and Transparency Act of 2006. The GOVERNMENTAL BODY certifies that it is in compliance with the terms and requirements of 31 USC 6101
- 30) False Or Fraudulent Statements Or Claims. The GOVERNMENTAL BODY acknowledges that if it makes a false, fictitious, or fraudulent claim, statement, submission, or certification to CMAP in connection with this Agreement, CMAP reserves the right to impose on the GOVERNMENTAL BODY the penalties of 18 U.S.C. Section 1001, 31 U.S.C. Section 3801, and 49 CFR Part 31, as CMAP may deem appropriate. GOVERNMENTAL BODY agrees to include this clause in all state and federal assisted contracts and subcontracts.
- 31) Changed Conditions Affecting Performance. The GOVERNMENTAL BODY shall immediately notify CMAP of any change in conditions or local law, or of any other event which may significantly affect its ability to perform the Project in accordance with the provisions of this Agreement.
- 32) Third Party Disputes Or Breaches. The GOVERNMENTAL BODY agrees to pursue all legal rights available to it in the enforcement or defense of any third party contract, and FTA or U.S. DOT and CMAP reserve the right to concur in any compromise or settlement of any third party contract claim involving the GOVERNMENTAL BODY. The GOVERNMENTAL BODY will notify FTA or U.S. DOT and the CMAP of any current or prospective major dispute pertaining to a third party contract. If the GOVERNMENTAL BODY seeks to name CMAP as a party to the litigation, the GOVERNMENTAL BODY agrees to inform both FTA or U.S. DOT and CMAP before doing so. CMAP retains a right to a proportionate share of any proceeds derived from any third party



recovery. Unless permitted otherwise by the CMAP, the GOVERNMENTAL BODY will credit the Project Account with any liquidated damages recovered. Nothing herein is intended to nor shall it waive U.S. DOT's, FTA's or the CMAP's immunity to suit.

- 33) Fly America. The GOVERNMENTAL BODY will comply with 49 U.S.C. §40118, 4 CFR §52 and U.S. GAO Guidelines B- 138942, 1981 U.S. Comp. Gen. LEXIS 2166, March 31, 1981 regarding costs of international air transportation by U.S. Flag air carriers.
- 34) Non-Waiver. The GOVERNMENTAL BODY agrees that in no event shall any action or inaction on behalf of or by CMAP, including the making by CMAP of any payment under this Agreement, constitute or be construed as a waiver by CMAP of any breach by the GOVERNMENTAL BODY of any terms of this Agreement or any default on the part of the GOVERNMENTAL BODY which may then exist; and any action, including the making of a payment by CMAP, while any such breach or default shall exist, shall in no way impair or prejudice any right or remedy available to CMAP in respect to such breach or default. The remedies available to CMAP under this Agreement are cumulative and not exclusive. The waiver or exercise of any remedy shall not be construed as a waiver of any other remedy available hereunder or under general principles of law or equity.
- 35) Preference for Recycled Products. To the extent applicable, the GOVERNMENTAL BODY agrees to give preference to the purchase of recycled products for use in this Agreement pursuant to the various U.S. Environmental Protection Agency (EPA) guidelines, "Comprehensive Procurement Guidelines for Products Containing Recovered Materials," 40 CFR Part 247, which implements section 6002 of the Resource Conservation and Recovery Act, as amended, 42 U.S.C. § 6962.
- 36) Cargo Preference. Use of United States Flag Vessels. The GOVERNMENTAL BODY agrees to comply with 46 U.S.C. § 55305 and 46 CFR Part 381 and to insert the substance of those regulations in all applicable subcontracts issued pursuant to this Agreement, to the extent those regulations apply to this Agreement.
- 37) Performance measurement. The GOVERNMENTAL BODY must relate financial data of this AGREEMENT to its performance accomplishments. Further, the GOVERNMENTAL BODY must also provide cost information or a budget in Part 6 to demonstrate cost effective practices pursuant to 2 CFR Part 200.301.
- 38) Project closeout. Pursuant to CFR Part 200.343 thru 200.345, the GOVERNMENTAL BODY must submit the required project deliverables, performance and financial reports, and all eligible incurred costs as specified in Parts 5 and 6, respectively, of this AGREEMENT no later than 90 days after the AGREEMENT's end date. Further, the GOVERNMENTAL BODY agrees that the project should then be closed no later than 360 days after receipt and acceptance by CMAP of all required final reports.
- 39) Certification Regarding Annual Fiscal Reports or Payment Vouchers. The GOVERNMENTAL BODY agrees to comply with 2 CFR Part 200.415(a) as follows: To assure that expenditures are proper and in accordance with the terms and conditions of the Federal award and approved project budgets, the annual and final fiscal reports or vouchers requesting payment under the agreements must include a certification, signed by an official who is authorized to legally bind the GOVERNMENTAL BODY, which reads as follows: "By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812)."

All of the requirements listed in Part 3, paragraphs 1 through 39 apply to the federally funded project. The GOVERNMENTAL BODY agrees to include these requirements in each contract and subcontract financed in whole or in part with federal assistance.



**Part 4: Scope of Work/Responsibilities**

**FY 2023 Planning Liaison Scope of Services**

The Planning Liaison (PL) Program is funded with Federal Metropolitan Planning funds, as allocated in the Unified Work Program (UWP). Local matching funds are provided by each local Council. The PL Program receives Core Supplemental funds to assist CMAP, as the Metropolitan Planning Organization for the Chicago region, in meeting Federal transportation planning requirements including development of a Long Range Transportation Plan, Transportation Improvement Program, and Congestion Management System. The PL Program includes five general task areas described below that will be completed using the Core Supplemental budget as allocated in the FY 2023 UWP.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Quarterly report	Q1, Q2, Q3, Q4	Narrative and fund expenditures
Annual report	Q4	

**Communication & Public Involvement**

The PL program will be the basic communication link between CMAP and the suburban mayors. PL staff will attend CMAP Transportation Committee, MPO Policy Committee, CMAP Board, and other relevant meetings and provide information about CMAP transportation policies, programs and initiatives to local officials and stakeholders, will provide feedback regarding those issues to the CMAP staff, committees and Board and will ensure that CMAP is apprised of regional and sub-regional issues of importance to their communities. PLs will be responsible for keeping their Council membership updated with information through an email newsletter.

The PL program will actively work to assist CMAP staff with the implementation of ON TO 2050 through participation in the CMAP committee structure, facilitation of meetings and events, and distribution of information throughout the sub-regional areas. The PL staff are encouraged to use the CMAP developed Partner Toolkits to help with the distribution of information in Council newsletters and emails.

In accordance with federal metropolitan planning regulations, as an extension of the MPO, the councils shall provide the public with a reasonable opportunity to be involved in the transportation planning process. As such, the PL program will be responsible for conveying information about council transportation activities to council members and the general public via either a council website or the CMAP website. At a minimum, an up-to-date meeting calendar, meeting agendas and attachments, minutes of past meetings, and information regarding the council's STP program development and current status should be available in a timely manner and format that allows for reasonable public access to the decision-making process.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Calendar of council meetings	Q3 with updates as needed	For distribution to council members and interested parties and posting on the council website/web page(s)
Council meeting agendas, materials, and minutes	As needed per council schedule	For distribution to council members and interested parties and posting on the council website/web page(s)
Council website/web pages	Ongoing	For public access
Council newsletters and emails	Ongoing	For distribution to council members and interested parties and posting on the council website/web page(s)

**Regional Planning Support and Technical Assistance**

The PL program will provide staff assistance as part of the ON TO 2050 comprehensive regional planning effort. This includes being involved in the CMAP committee structure and providing technical and other support to help achieve CMAP objectives. The PL staff will participate in and provide input on local planning initiatives as well as regional and sub-regional planning efforts surrounding the Transportation Improvement Program, Congestion Management System, and ON TO 2050. The PL

staff will represent the interests of the sub-regional councils when attending and participating in advisory groups, committees, and public meetings for regional or sub-regional planning efforts, and regionally significant projects.

The PL program will support the development and implementation of CMAP's Local Technical Assistance (LTA) program, the RTA's Community Planning program, *Invest in Cook*, and similar programs by providing program and funding opportunity information to local agencies, facilitating outreach efforts, assisting CMAP, the RTA, or other program sponsors with the assessment of applications, and facilitating communication with project sponsors during the implementation of projects.

The PL program shall maintain a high level of expertise on transportation planning topics by attending and actively participating in required local and regional trainings, meetings, and conferences. PL staff shall participate in periodic CMAP Planning Liaison Trainings as they are scheduled. Participation in state, and national training, meetings, and conferences is also both allowable and encouraged. The PL program is encouraged to participate in continuing education activities including, but not limited to, CMAP's LTA, CMAQ, TAP, STP Shared Fund and other funding program information and training sessions, IDOT program administration and forms and processes training, and IDOT and state of Illinois GATA training, and may also include the annual IDOT Fall Planning Conference, the annual John Noel Public Transit Conference, IML meetings and conferences, FHWA and FTA training offered through NTI, and meetings and conferences by professional organizations such as APA, ITE, ASCE, AASHTO, NARC, and others. PL staff shall encourage appropriate local government participation in the same, and shall communicate procedural changes, new or updated regulations, and other appropriate information from these sessions to local government and transportation partners.

The PL program will provide technical support and assistance regarding transportation issues to CMAP and local governments. It will provide data and analysis regarding issues of importance to regional or sub-regional agencies. To that end, the PL program will assist in the collection of data for the annual obligation report by collecting local transportation obligations as well as assisting with coordination of training to assist all of the region's municipalities in implementing and improving asset management systems. The PL program will work with CMAP to provided technical assistance to connect lower capacity municipalities with partnership opportunities with other local government or agencies.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Program and funding information for Council members	Ongoing	For distribution to council members and interested parties and posting on the council website/web page(s)
Distribute training opportunity announcements	As needed	For distribution to council members and interested parties in newsletters and/or emails
Summaries of meetings, conferences, trainings, procedural changes, new or updated regulations, and other appropriate information	As needed	For distribution to council members and interested parties in newsletters and/or emails

**Program Development – Surface Transportation Program**

The PL program will support the region's programming and management methods for the local Surface Transportation Program (STP) while managing the implementation of existing programs developed in prior years. PL staff shall actively participate in the implementation of Active Program Management (APM) policies for the shared fund and local programs, data collection for determination of funding distribution, and other related activities by attending STP project selection committee meetings, participating in PL and other meetings, facilitating presentations at sub-regional council meetings or events, and soliciting local government feedback and communicating that feedback to CMAP and the STP project selection committee. Discussions and actions on the programming and use of federal funds, including those that occur at individual Council meetings, must take place at open to public meetings with the opportunity for public comment.

In accordance with the agreement between the Council of Mayors and Chicago Department of Transportation regarding the distribution and active program management of locally programmed STP, the PL program shall implement local council STP methodologies that incorporate the APM policies and regional priorities, with support from CMAP staff and the STP project selection committee, and shall complete calls for local projects according to the schedule included in the region's APM policies. Where required in local methodologies, PL and Council staff shall develop materials and conduct training sessions for local project sponsors seeking STP funding. Per STP APM policies, staff recommended active and contingency programs shall be developed and released for public comment. Local methodologies are required to be posted on individual Council websites and/or the CMAP website and changes to those methodologies must be developed through an open and transparent process that includes reasonable opportunity for public participation.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Staff recommended active and contingency programs	Q1	Posted to council and/or CMAP website
Summary of public comments on the local program and responses to those comments	Q2	Posted to council and/or CMAP website
Approved local STP active and contingency programs and associated TIP amendments	Q2	Programs posted to council and/or CMAP website and TIP amendments completed via eTIP

#### **Program Monitoring and Active Program Management**

The PL program will work with local officials, regional, state and federal agencies and consultants to ensure the timely, efficient and effective implementation of transportation projects from the project scoping phase through project completion and close out. This will include providing regular project status reports and attending coordination meetings with CMAP and IDOT staff for all locally sponsored projects, at least semi-annually, and more often when requested. The PL program will be responsible for Active Program Management for locally sponsored projects funded with federal Surface Transportation Block Grant (STP), Congestion Mitigation and Air Quality (CMAQ), Transportation Alternatives Program (TAP), Safe Routes to School (SRTS), STP-Bridge, Highway Safety Improvement Program (HSIP), and other federal and state resources awarded to local governments through regional, statewide, or national selection processes.

The PL program is responsible for initiating and updating local projects within the eTIP database accurately and on time, including mapping projects and attaching related documents. Active Program Management of these projects also includes reviewing and submitting to IDOT all project forms completed by local agencies and their representatives. This includes, but is not limited to, Project Program Information (PPI) forms and draft local agency funding and engineering agreements. This requires that planning liaisons produce and submit to CMAP all appropriate TIP changes for locally-sponsored projects in a timely manner to ensure timely processing by IDOT and timely federal authorization, and assisting CMAP, IDOT, and FHWA with ensuring timely invoicing and project close out for locally sponsored projects.

The PL program will be the primary public contact for local government projects in the eTIP database. As such, the PLs are expected to keep abreast of project status and issues, and maintain close contact with local project officials and project teams by facilitating the scheduling of project phase kick-off meetings with IDOT and project sponsors, attending those meetings, FHWA/IDOT project coordination meetings, and other project-related meetings and events.

The PL program will assist local governments with accessing state and federal funds by communicating funding opportunity information and assisting local agencies with the completion of applications. The PL program shall communicate project selection results for federal and state funding programs to council members and shall assist local governments with project initiation, including meeting GATA

requirements. For calls for projects issued through the eTIP database, the PL staff shall review and release to CMAP all complete funding applications.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Local STP program updates	As needed, minimum quarterly	Posted to Council and/or CMAP website
TIP amendments and modifications that ensure complete and updated project information in eTIP	Ongoing and according to CMAP's Master Transportation Schedule and the IDOT Region 1 Letting Schedule	In eTIP database
Complete funding applications for calls for projects issued through the eTIP database	As needed	In eTIP database
Project updates for all locally implemented projects utilizing state and/or federal funding	As needed, minimum semi-annually	Internal and external reports or spreadsheets

#### **Contract, Administrative and General Support**

The PL Program requires extensive coordination with numerous agencies at all levels of government. The PL requires myriad forms of reporting, tracking, administrative and other tasks that are intertwined yet distinct from other deliverable categories. Contract Administrative and General Support will cover any and all tasks pertaining to tracking of activities, billing, and coordination internally and externally with partner agencies. PL will also interact with their supervising agencies such as Counties and COGs pertaining to such matters as is necessary to complete the duties outlined in this scope.

<b>Deliverable</b>	<b>Completion Timeline</b>	<b>Comment</b>
Hours Detail	Quarterly	Tracking hours of each deliverable category

#### **Performance Measure Completion Schedule (New Quarterly Reporting Requirement under BOBs 2832)**

<b>Name of Performance Measure</b>	<b>Quantitative Method of Tracking Progress</b>	<b>Completion Date* (Provide actual dates or quarter in which completed)</b>
Regional Planning Support	Number of CMAP Board, CMAP Transportation, MPO Policy Committee, and Planning Liaison meetings attended quarterly	Q1, Q2, Q3, Q4
Active Program Management of Locally Sponsored Programs	% of TIP changes submitted during open amendments vs. TIP changes submitted between amendments	Q1, Q2, Q3, Q4

#### **Performance Standards (New Quarterly Reporting Requirement under BOBs 2832)**

<b>Name of Performance Measure</b>	<b>Quantitative Method of Tracking Progress</b>	<b>Performance Standards</b>
Regional Planning Support	Number of CMAP Board, CMAP Transportation, MPO Policy Committee, and Planning Liaison	75% attendance of required meetings per Council

	meetings attended quarterly	
Active Program Management of Locally Sponsored Programs	% of TIP changes submitted during open amendments vs. TIP changes submitted between amendments	100% of TIP Changes are submitted during open amendments

**Part 5. Compensation for Services/Terms of Agreement**

Federal Funds	\$105,629.62	80%
Subtotal	\$105,629.62	
Local Match Provided through State Match	\$26,407.41	20%
Subtotal	<u>\$26,407.41</u>	
CONTRACT TOTAL	\$132,037.03	

- 1) This Agreement is for staff assistance to local officials and to undertake activities in support of transportation planning, programming and management and review by the GOVERNMENTAL BODY.
- 2) The GOVERNMENTAL BODY shall submit to CMAP (1) the line item budget for the Agreement, (2) *Derivation of Effective Hourly Rate* forms for all staff supported under this Agreement, (3) current organizational chart of divisions for all staff supported under this Agreement, (4) resumes of staff supported by this Agreement, (5) copy of GOVERNMENTAL BODY's travel policy (GOVERNMENTAL BODY's travel policy must be approved by CMAP prior to start of Agreement, otherwise the CMAP travel policy will then be enforced) and (6) resolution of the GOVERNMENTAL BODY's approval of the Planning Liaison Scope of Services and budget.
- 3) The GOVERNMENTAL BODY shall submit to CMAP documentation of any changes to the GOVERNMENTAL BODY's personnel, effective hourly rates, maximum hours per person and maximum expenditure per budget category including the effective date and approval date of said changes. In addition, changes to parameters specified in this Agreement are subject to CMAP procedures.
- 4) The GOVERNMENTAL BODY shall employ qualified personnel to undertake the activities outlined in the Scope of Services. The GOVERNMENTAL BODY will utilize procedures recognized by CMAP for hiring personnel, including complete documentation of the steps leading to the selection of the personnel.
- 5) The GOVERNMENTAL BODY may shall submit invoices to CMAP as frequently as monthly, but not less than quarterly. **The final invoice, which is due no later than July 15, 2023 or fifteen (15) days after the end of this Agreement.** The GOVERNMENTAL BODY shall submit separate invoices for the activities subject to 20% local match and the activities subject to 50% local match. Invoice submittals shall be based on the latest *Effective Hourly Rates* submitted to CMAP, multiplied by the hours worked on eligible activities and the eligible expenses incurred by the GOVERNMENTAL BODY during the subject month. The GOVERNMENTAL BODY will submit such back up documentation as required by CMAP, including timesheets indicating eligible hours worked and leave time (sick, holiday, vacation, etc.) and receipts for eligible expenditures and updates to the expenditure spreadsheet. Calculations of staff time and direct and indirect costs shall track directly to the signed invoice voucher. Signatures of the staff performing the activities are required on timesheets verifying which hours are eligible for reimbursements.
- 6) The following statement is required on the Invoice Voucher:

By signing this payment request, I certify that to the best of my knowledge and belief that the payment request is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of this contract. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. ([U.S. Code Title 18, Section 1001](#) and Title 31, Sections [3729-3730](#) and [3801-3812](#)).



This statement shall be followed by the signature of the person in the local agency or conference who is authorized to make such assurances. All of the monthly invoices for the fiscal year are subject to year-end deadlines as outlined in Part C General Conditions of Approval.

- 7) CMAP shall review said monthly invoices and back up documentation for accuracy, completeness and conformance to the Agreement and budget information on file. CMAP will contact the GOVERNMENTAL BODY if there are deficiencies. If all documents are in order, CMAP will approve the invoice and forward it for reimbursement to the appropriate funding agency.
- 8) The personnel of the GOVERNMENTAL BODY shall attend planning liaison meetings and shall provide a quarterly written report on progress to CMAP.
- 9) The GOVERNMENTAL BODY may submit an annual report at the conclusion of the contract.
- 10) The GOVERNMENTAL BODY will be liable for fulfillment of the Scope of Services. CMAP will notify the GOVERNMENTAL BODY in a timely manner of any deficiencies of the GOVERNMENTAL BODY personnel regarding completion of required activities and other related issues. CMAP reserves the right to decline a contract with deficient GOVERNMENTAL BODY personnel.
- 11) CMAP will schedule and conduct an annual review of the PL Program and the services provided by GOVERNMENTAL BODY personnel. Meeting participants will include but are not limited to CMAP and GOVERNMENTAL BODY and other supervisors as deemed appropriate.

**Part 6. FTA CERTIFICATION REGARDING LOBBYING**

**FTA CERTIFICATION REGARDING LOBBYING**  
(49 CFR PART 20)

Certification for Contracts, Grants, Loans, and Cooperative Agreements  
(To be submitted with each bid or offer exceeding \$100,000)

The undersigned [GOVERNMENTAL BODY] certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form--LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions [as amended by "Government wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg. 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)]

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31, U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.]

The GOVERNMENTAL BODY, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the GOVERNMENTAL BODY understands and agrees that the provisions of 31 U.S.C. A 3801, et seq., apply to this certification and disclosure, if any.

\_\_\_\_\_  
Signature of GOVERNMENTAL BODY's Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name and Title of GOVERNMENTAL BODY's Authorized Official:

## **PART 7: Agreement Award Notification of Federal Funds**

**Amount of Federal funds:** \$105,629.62

**Federal Award Identification Number (FAIN):** JJWC(657)

**Name of Project:** 3-C Planning Agreement (Operating Agreement)

**CFDA Number, Federal Agency, Program Title:** 20.205, Federal Highway Administration, Illinois Highway Planning and Construction

**CFSA Name and Number:** Metropolitan Planning Program, 494-00-1009/1437

**SAIN:** 1009-33511

### **ANNUAL CERTIFICATION FOR COMPLIANCE WITH FEDERAL OMB-CIRCULAR A-133/2 CFR Section 200.51(c)(4)**

#### **NOTICE**

- The certification applies ONLY to governmental agencies, local units of government and non-profit agencies expending federal funds for this project. It does not apply to for-profit public or private entities.
- If OMB Circular A-133/2 CFR Section 200.51(c)(4) applies to your organization, submit the certification or a copy of your OMB A-133/2 CFR Section 200.51(c)(4) single audit to CMAP at the end of your fiscal year for any fiscal year in which you expended any federal funds related to this contract.

**NOTE: ANNUAL COMPLIANCE WITH THIS REQUIREMENT IS MANDATORY FOR EVERY YEAR IN WHICH FEDERAL FUNDS ARE EXPENDED FOR THIS PROJECT BY ANY STATES, LOCAL GOVERNMENTS OR NONPROFIT ORGANIZATIONS. FAILURE TO COMPLY WITH THE ANNUAL CERTIFICATION TO CMAP WILL RESULT IN THE SUSPENSION OF PAYMENTS TO REIMBURSE PROJECT COSTS.**

In accordance with OMB Circular A-133/2 CFR Section 200.51(c)(4), *Audits of States, Local Governments, and Non-Profit Organizations*, such non-federal entities that expend \$750,000 or more in federal awards in a year are required to have a single audit performed in accordance with OMB Circular A-133/2 CFR Section 200.51(c)(4). The Chicago Metropolitan Agency for Planning (CMAP) is required by federal law to obtain and review the single audit of all entities that had any federally participating funds pass through it, irrespective of the amount provided by CMAP. It is the responsibility of the agencies expending federal funds to comply with the requirements of OMB Circular A-133/2 CFR Section 200.51(c)(4) and determine whether they are required to have a single audit performed.

In order to comply with this requirement, your agency must provide the following information to CMAP on an annual basis for every year in which you expended funds for costs associated with this project:

1. If your agency expended \$750,000 (or the current OMB Circular A-133/2 CFR Section 200.51(c)(4) qualifying amount) or more in federal awards from all sources, including other agencies, in a year, you are required to have a single audit performed in accordance with OMB Circular A-133/2 CFR Section 200.51(c)(4) and submit a copy of the report to CMAP within the earlier of 30 days after completion of the single audit or no more than nine months after the end of your fiscal year end.

**This is an annual requirement for every year in which you expended funds for this project.**

2. If your agency did not expend \$750,000 (or the current OMB Circular A-133/2 CFR Section 200.51(c)(4)) or more in federal awards from all sources, including other agencies, in any fiscal year for which you expended funds for projects costs and were not required to conduct a single audit, you must complete and return the certification statement.

**This is an annual requirement for every year in which you expended funds for this project.**

3. If your agency receives multiple awards from CMAP, only one annual submittal of this information is required.

Please submit a copy of your OMB Circular A-133/2 CFR Section 200.51(c)(4) single audit or the Single Audit Not Required Certification to:

Chicago Metropolitan Agency for Planning  
Finance Department, Suite 450  
433 West Van Buren Street  
Chicago, IL 60607

Attn: Dan Olson

The single audit must be comprised of four parts. You have the option of including the four parts in one report or a combination of reports. The four parts are commonly known as:

1. Comprehensive Annual Financial Report (Financial Statements)
2. Schedule of Expenditures of Federal Awards and Independent Auditor's Report thereon.
3. Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and other matters based on an Audit of Financial Statements performed in accordance with Government Auditing Standards.
4. Independent Auditor's Report on Compliance with Requirements Applicable to each Major Program and on Internal Control over Compliance in accordance with OMB Circular A-133/2 CFR Section 200.51(c)(4).

Additional information which should be submitted:

1. Corrective Action Plan(s), if applicable.
2. Management Letter, if applicable.
3. Status of Prior Year Findings, if applicable.

For your convenience you may also submit this information via email to Dan Olson at [DOlson@cmapp.illinois.gov](mailto:DOlson@cmapp.illinois.gov) . If you have any questions, please contact Dan Olson at 312-386-8760.

## **NOTICE**

- **Do not submit this certification to CMAP with your signed contract.**
- This certification applies ONLY to governmental agencies, local units of government and non-profit agencies expending federal funds for this project. If does not apply to for-profit public or private entities.
- If OMB Circular A-133/2 CFR Section 200.51(c)(4) applies to your organization, this certification or a copy of your OMB Circular A-133/2 CFR Section 200.51(c)(4) single audit must be submitted to CMAP at the end of your fiscal year for any fiscal year in which you expended any federal funds related to this contract.

### **Single Audit Not Required Certification**

I certify that \_\_\_\_\_ did not expend \$750,000 or more in federal awards in our fiscal year \_\_\_\_\_ and was not required to have a single audit conducted.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

### **Subrecipient Contact Information**

Subrecipient: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

Address: \_\_\_\_\_ Phone No. \_\_\_\_\_

Fax No. \_\_\_\_\_

Fiscal Year End: \_\_\_\_\_

Email address: \_\_\_\_\_

Attachment 2

Electronic Billing Information

Transfer of funds must be made electronically. GOVERNMENTAL BODY certifies to the following information:

Bank Name: \_\_\_\_\_

Telephone No.: \_\_\_\_\_

Account No.: \_\_\_\_\_

Bank ACH Routing No.: \_\_\_\_\_

GOVERNMENTAL BODY email address for confirmation:

\_\_\_\_\_

Return this completed form to:

Finance Department  
Chicago Metropolitan Agency for Planning  
433 West Van Buren Street, Suite 450  
Chicago, IL 60607

MCHENRY COUNTY COUNCIL OF MAYORS

**RESOLUTION NUMBER:** R22-001

**APPROVED DATE:** July 21, 2022

**A RESOLUTION OF INTENT AND CONCURRENCE REGARDING THE DISPOSITION OF FY2023  
FEDERAL TRANSPORTATION PLANNING FUNDS AND PROFESSIONAL STAFF ASSISTANCE TO  
THE MCHENRY COUNTY COUNCIL OF MAYORS**

**WHEREAS**, the McHenry County Council of Mayors are duly elected local officials as defined in the Federal Highway Acts of 1970, 1973, and 1976, the Surface Transportation Assistance Acts of 1978, 1982, 1987, the Intermodal Surface Transportation Efficiency Act of 1991, the Transportation Equity Act for the 21<sup>st</sup> Century of 1998, the Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005, Moving Ahead for Progress in the 21<sup>st</sup> Century of 2012 and Fixing America's Surface Transportation Act of 2015, and represent a total of twenty-nine government agencies in McHenry County, being twenty-eight municipalities and the County of McHenry; and

**WHEREAS**, McHenry County Council of Mayors receives an allocation of federal transportation planning funds to support professional planning staff, to provide effective liaison with the various regional transportation agencies, to provide professional technical assistance to local units of government and the County of McHenry, to develop and administer the Surface Transportation Program, and to perform transportation planning activities; and

**WHEREAS**, McHenry County Council of Mayors is desirous of continuing to receive professional transportation planning assistance and requests that the County of McHenry, through the McHenry County Division of Transportation, retain a transportation planning professional to provide said assistance; and

**WHEREAS**, the Chicago Metropolitan Agency for Planning (hereinafter CMAP) has mandated certain required work tasks to be undertaken to be eligible to receive Federal Transportation Planning Funds; and

**WHEREAS**, to accomplish said work tasks in a timely and effective manner the McHenry County Division of Transportation is willing to provide said professional and technical assistance to the McHenry County Council of Mayors;

**NOW, THEREFORE BE IT RESOLVED**, that the McHenry County Council of Mayors hereby agrees to reallocate its FY 2023 Federal Transportation Planning Funds and makes said funds available to the McHenry County Division of Transportation to provide the professional and technical transportation assistance needed to perform such work tasks and responsibilities included in the FY 2023 Planning Liaison Scope of Services as may be required by CMAP;

## **EXHIBIT "A"**

**BE IT FURTHER RESOLVED**, that the Federal Transportation Planning Funds to be used for said professional and technical transportation assistance may be utilized in a manner acceptable to the McHenry County Division of Transportation and CMAP to accomplish said required work tasks and responsibilities;

**BE IT FURTHER RESOLVED**, that copies of this resolution be forwarded to the McHenry County Director of Transportation/County Engineer and the Executive Director of CMAP to make them aware of the desires and intentions of the McHenry County Council of Mayors.

**APPROVED** this 21<sup>st</sup> day of July in Woodstock, Illinois.

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Richard Mack  
Chair  
McHenry County Council of Mayors  
and  
Village President, Village of Ringwood